Audit, Compliance, and Enterprise Risk Management Committee Meeting
Thursday, April 20, 2017
Student Union, Room 263

Open Session Minutes

Present
Joe L. Price, ex officio, Acting Chair
Mary Ann Rouse
Laura A. Schulte
Michael L. Wilson

Absent
Catherine P. Bessant, Chair

Staff/Guests in Attendance
Philip L. Dubois, Chancellor
Susan Burgess, Director of Compliance
Julie Earls, Staff Auditor
Sarah Edwards, Associate General Counsel
Beth Hardin, Vice Chancellor for Business Affairs
Diana Hill, Staff Auditor
Jesh Humphrey, Deputy General Counsel
Joan Lorden, Provost and Vice Chancellor for Academic Affairs
Jennifer Newell, Title IX Coordinator
Raheel Qureshi, Staff Auditor
Jennifer Ward, Liaison to the Board of Trustees and the Chancellor’s Cabinet

Call to Order. Mr. Price called the meeting to order and reminded Committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes, and advised the Committee members to notify him immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts of interest were reported.

Mr. Price stated that Ms. Bessant was unable to attend the meeting and that he had agreed to serve as chair in her absence.
Approval of Minutes. Mr. Price requested action on the February 9, 2017, Audit, Compliance, and Enterprise Risk Management Committee meeting minutes.

Action. On a motion by Mr. Wilson, seconded by Ms. Schulte, the Committee approved the February 9, 2017, Audit, Compliance, and Enterprise Risk Management Committee meeting minutes.

Internal Audit Update. Mr. Qureshi updated the Committee on the annual audit plan for fiscal year 2017. Mr. Qureshi presented a summary of Internal Audit Reports issued since the last Committee meeting and reviewed active findings. Mr. Qureshi also reviewed the Internal Audit department’s balanced scorecard and the Board of Governors’ annual certification requirements for fiscal year 2017.

Mr. Qureshi presented the Internal Audit Plan for fiscal year 2018. Mr. Price requested a motion to approve the plan as presented.

Action. On a motion by Ms. Rouse, seconded by Mr. Wilson, the Committee approved the Internal Audit Plan for fiscal year 2018.

The Committee members discussed several findings noted in an audit of the Office of Environmental Health and Safety (EHS). Mr. Price stated that the findings should be resolved promptly and requested that Internal Audit and EHS staff report on progress toward remediating the findings at each of the Committee’s meetings.

Title IX Education Programs. Ms. Edward and Ms. Newell presented the legal requirements and guidance for Title IX education and discussed how UNC Charlotte meets those requirements. Ms. Edwards discussed Title IX law, Office for Civil Rights guidance, the Campus Sexual Violence Elimination Act (the Campus SaVE Act), the Clery Act, and the Violence Against Women Reauthorization Act. Ms. Newell reviewed Campus SaVE education, including primary and ongoing education and the training of faculty and staff. Ms. Newell also discussed Title IX resources available to the campus community.

Compliance Update. Ms. Burgess briefly reviewed data on confidential reports received via the EthicsPoint reporting system.

Motion to Convene in Closed Session. Mr. Price called for a motion to convene in closed session.

Action. On a motion by Ms. Rouse, seconded by Ms. Schulte, the Board convened in closed session pursuant to the following General Statutes of the State of North Carolina:

a. N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7; and
b. N.C.G.S. §143-318.11(a)(3), to consult with and receive advice from counsel; and
c. to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual,
public officer or employee, or prospective public officer or employee pursuant to N.C.G.S. § 143-318.11 (a)(6).

Mr. Price excused all attendees except the Committee members, Ms. Bradley, Ms. Burgess, Ms. Hardin, Mr. Humphrey, Dr. Lorden, and Ms. Ward.

Closed session minutes are filed in the office of the Assistant Secretary to the Board of Trustees.

Open Session

Adjourn. There being no other business, Mr. Price adjourned the meeting.

Submitted:  

Kim S. Bradley  
Assistant Secretary  
UNC Charlotte Board of Trustees

Approved:  

Laura A. Schulte  
Chair  
Audit, Compliance, and Enterprise Risk Management Committee

10/11/17  
Date
Executive Committee Meeting  
Thursday, April 20, 2017, 9:30 a.m.  
Student Union, Room 266

Open Session Minutes

Present  
Joe L. Price, Chair  
Susan D. DeVore  
Theresa J. Drew  
Dhiaa Jamil  
Laura A. Schulte  
Michael L. Wilson

Absent  
Catherine P. Bessant

Staff/Guests in Attendance  
Philip L. Dubois, Chancellor  
Kim Bradley, Chief of Staff and Assistant Secretary to the Board of Trustees  
Betty Doster, Special Assistant for Constituent Relations  
Beth Hardin, Vice Chancellor for Business Affairs  
Jesh Humphrey, Vice Chancellor and General Counsel  
Joan Lorden, Provost and Vice Chancellor for Academic Affairs  
Judy Rose, Director of Athletics  
Jennifer Ward, Liaison to the Board of Trustees and the Chancellor’s Cabinet  
Bob Wilhelm, Vice Chancellor for Research and Economic Development

Call to Order: Mr. Price called the meeting to order and reminded the Committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes, and advised the Committee members to notify him immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts of interest were reported.
Approval of Minutes. Mr. Price requested action on the Executive Committee’s February 9, 2017, open and closed session meeting minutes.

Action. On a motion by Ms. Schulte, seconded by Mr. Wilson, the Committee approved the February 9, 2017, Executive Committee minutes.

University Policy 314, Centers and Institutes. Dr. Wilhelmi presented proposed minor changes to University Policy 314, Centers and Institutes. Mr. Price requested a motion to approve the changes to the policy as proposed.

Action. On a motion by Mr. Jamil, seconded by Ms. Schulte, the Committee approved the changes to University Policy 314, Centers and Institutes, as discussed.

Revision of Board of Trustees Bylaws. Mr. Humphrey presented changes to the Board of Trustees bylaws necessitated by recent legislation giving the North Carolina General Assembly, rather than the governor, the authority to appoint trustees to UNC institutions’ boards.

Action. On a motion by Mr. Jamil, seconded by Ms. Schulte, the Committee approved the changes to the Board of Trustees bylaws as discussed.

Motion to Convene in Closed Session. Mr. Price requested a motion to convene in closed session.

Action. On a motion by Mr. Wilson, seconded by Mr. Jamil, the Committee convened in closed session pursuant to the following General Statutes of the State of North Carolina:

a. N.C.G.S. §143-318.11 (a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, or prospective public officer or employee; and
b. N.C.G.S. §143-318.11(a)(2), to prevent the premature disclosure of an award.

Closed session minutes are filed in the office of the assistant secretary to the Board of Trustees.

Open Session

Motion to Approve Faculty Tenure Actions. Mr. Price requested a motion to approve the faculty tenure actions as presented in closed session.

Action. On a motion by Mr. Jamil, seconded by Ms. Schulte, the Committee voted to approve the faculty tenure actions as presented.

Motion to Approve Athletics Employment Agreement. Mr. Price requested a motion to approve the amendment to the coaching agreement as presented in closed session.

Action. On a motion by Mr. Jamil, seconded by Mr. Wilson, the Committee voted to approve the amendment to the coaching agreement as presented.
Adjourn. There being no further business before the Committee, Mr. Price adjourned the meeting.

Submitted:  
Kim S. Bradley  
Assistant Secretary to the Board  
UNC Charlotte Board of Trustees  

Approved:  
Joe L. Price  
Chair  
UNC Charlotte Board of Trustees  

10/11/17  
Date
The University of North Carolina at Charlotte
Board of Trustees

Thursday, April 20, 2017, 10:30 a.m.
Popp Martin Student Union

Academic and Student Affairs Committee Meeting

Open Session Minutes

Present
Laura A. Schulte, Chair
David L. Hauser
Joe L. Price
Mary Ann Rouse
Michael L. Wilson

Staff in Attendance
Kim Bradley, Chief of Staff
Katherine Hall-Hertel, Associate Dean for Graduate Academic and Student Affairs
Art Jackson, Vice Chancellor for Student Affairs
Joan F. Lorden, Provost and Vice Chancellor for Academic Affairs
Jay Raja, Associate Provost Academic Affairs
Tom Reynolds, Dean, Graduate School
Robert Wilhelm, Vice Chancellor for Research and Economic Development
Leslie R. Zenk, Assistant Provost, Academic Affairs
John Ziegert, Professor, Mechanical Engineering and Engineering Science

Call to Order. Ms. Schulte called the meeting to order and reminded Committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes, and advised the Committee members to notify her immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts were reported.

Approval of Minutes. Ms. Schulte requested action on the minutes of the February 9, 2017, Academic and Student Affairs Committee meeting.

Action. On a motion by Mr. Hauser, seconded by Ms. Rouse, the Committee approved the February 9, 2017, meeting minutes.
Research Spotlight. Dr. Wilhelm introduced Dr. Ziegert, who discussed the collaboration between the University and Siemens Energy Charlotte. Dr. Zeigert stated that UNC Charlotte has worked very closely with Siemens on ten research and development projects to date. Dr. Ziegert stated that the partnership with Siemens is an example of a successful and mutually beneficial University-industry partnership that provides opportunities for new knowledge generation as well as talent recruitment and student employment opportunities.

Graduate Education (Education). Dr. Lorden introduced Dr. Reynolds, Graduate School Dean, and Dr. Hall-Hertel, to discuss graduate programming on campus and the way in which programs align with identified needs in North Carolina. Dr. Reynolds and Dr. Hall-Hertel discussed graduate student demographics, noting that 5,317 graduate students representing 62 countries are currently enrolled at UNC Charlotte. Dr. Reynolds discussed graduate enrollment and data and projected changes in graduate programming and collaborations. Dr. Hall-Hertel discussed the Center for Graduate Life, noting that the Center is one example of how the Graduate School contributes to students’ academic and professional development. Dr. Hall-Hertel stated that the Center emphasizes skills such as ethical conduct, teamwork, and communication skills and serves as a hub for graduate student services, including workshops, leadership opportunities, and career advising.

Adjourn. There being no further business before the committee, Ms. Schulte adjourned the meeting.

Submitted:  
Jean F. Lorden 10/11/2017  
Provost and Vice Chancellor

Approved:  
Laura A. Schulte 10/11/17  
Chair  
Academic and Student Affairs Committee
Athletics Committee Meeting
Thursday, April 20, 2017, 10:30 a.m.
Popp Martin Student Union, Room 264

Open Session Meeting Minutes

Present
Fahn K. Darkor
Susan D. DeVore
Karen A. Popp

Absent
Catherine P. Bessant

Staff/Guests in Attendance
Susan Burgess, Director of University Compliance
Scott Byrd, Assistant Athletic Director for Compliance and Championships
Shari Dunn, Senior Executive Assistant to the Chancellor
Mike Hummer, Executive Director Athletic Foundation
Allison Roach, Executive Assistant
Judy Rose, Director of Athletics
Darin Spease, Deputy Athletic Director
Chris Thomasson, Senior Associate Athletic Director
Kim Whitestone, Executive Associate Athletic Director

Call to Order. Ms. DeVore called the meeting to order and reminded Committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes, and advised the Committee members to notify her immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts of interest were reported.

Approval of Minutes. Ms. DeVore requested action on the February 9, 2017, Athletics Committee meeting minutes.

Action. On a motion by Ms. Popp, seconded by Mr. Darkor, the Committee approved the February 9, 2017, meeting minutes.

Athletics Budget Annual Report. Mr. Spease reviewed the Athletics budget for 2017-18 and provided a comparison of the 2016-17 and 2017-18 budgets and a comparison of the budget to end-of-year results.
NCAA Compliance Report. Mr. Byrd viewed the violation report and stated that UNC Charlotte Athletics is in line with the national average of violations. Mr. Byrd presented Institutional Control documents.

Gender Equity and Title IX Report. Ms. Whitestone reported that the Gender Equity Committee has expanded its focus. Ms. Whitestone reported that the Athletics department is on schedule to submit the mandated Title IX plan to Conference USA by August 1. Mr. Porter discussed student-athlete education on interpersonal violence prevention.

Facilities Update. Ms. Rose updated the Committee on progress on the following facilities:

- New indoor hitting facilities: Ms. Rose reviewed plans for a baseball facility, with an estimated cost of $628,000, and for a softball facility, which has an estimated cost of $450,000.
- Golf facilities; Ms. Rose discussed the addition of women’s locker rooms and a hitting bay.
- Football stadium: Ms. Rose presented renderings showing the future potential expansion of the stadium. Mr. Thomasson presented options for using rental equipment for tailgating entertainment.

Athletics Department Update. Ms. Rose gave an update on spring sports, the return of “From the Desk of Judy Rose,” and plans to host the 2018 NCAA Men’s Basketball first and second rounds.

Athletics Committee Annual Report Schedule. Ms. DeVore reviewed the annual report schedule with the Committee. No changes were made.

Adjournment. There being no further business, Ms. DeVore adjourned the meeting.
Open Session Minutes

Present
Theresa J. Drew, Chair
Sharon Allred Decker
Dhiaa Jamil
Fred W. Klein

Staff/Guests in Attendance
Philip Dubois, Chancellor
Beth Hardin, Vice Chancellor for Business Affairs
Phil Jones, Associate Vice Chancellor for Facilities Management
Richard LaLiberte, Real Estate Manager
Keith Wassum, Associate Vice Chancellor for Business Services
Douglas Lape, Director of Parking and Transportation Services
Chris Bates, Assistant Vice Chancellor for Administration
Nelda Tatum, Executive Assistant, Business Affairs
Anna Clark, Executive Assistant, Chancellor’s Office

Call to Order. Ms. Drew called the meeting to order and reminded committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes, and advised the Committee members to notify her immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts of interest were reported.


Action. On a motion by Dhiaa Jamil, seconded by Fred Klein, the committee unanimously approved the April 20, 2017, Facilities and Physical Properties Committee meeting minutes.
Request for Approval of Increase in Capital Project Authorization – Campus Storm Water Improvements Phase 1 – Davis Lake. Ms. Hardin stated that the original concept for this project was to convert Davis Lake into a storm water “Best Management Practice” (BMP) feature, developed in accordance with the City of Charlotte’s Post Construction Ordinance (PCO). Ms. Hardin noted that the original authorization amount was $450,000.

Ms. Hardin stated that to determine the type of permit that would best align with the University’s storm water plan goals and gain approval from the Division of Water Resources, North Carolina Department of Environmental Quality required extended deliberations, multiple plan submittals, design modifications, and reviews. Ms. Hardin stated that the approval process for the application and water quality certificate took two years and resulted in design changes and cost escalations. Ms. Hardin stated that the requested increase of $250,000 will cover these refinements, and that construction is scheduled to begin June 2017 and will take three months to complete.

Action. On a motion by Mr. Jamil, seconded by Mr. Klein, the Committee unanimously recommended that the Board of Trustees approve the increase in the authorization for the Campus Storm Water Improvements Phase I – Davis Lake project from $450,000 to $700,000.

Request for Authorization of Capital Project Hawthorn Hall Basement Renovation. Ms. Hardin stated that Hawthorn Hall was built in the 1980s. Ms. Hardin stated that Hawthorn’s 2,560-square-foot basement serves as a student lounge with seating areas, games, video game stations, laundry, and a kitchen, and that this renovation will improve the entire basement area and will include ADA-compliant restrooms, code-compliant handrails on the stairs, and a remodeled kitchen. Ms. Hardin stated that the project will begin in May and will be completed by August 1.

Action. On a motion by Mr. Jamil, seconded by Mr. Klein, the committee unanimously recommended that the Board of Trustees authorize the University to undertake a capital improvement project, Hawthorn Hall Basement Renovation, with a budget of $400,000.

Request for Approval of Authorization of Capital Projects – Baseball Indoor Training Facility. Ms. Hardin stated that this pre-engineered indoor training facility, which will include three batting cages and a warm-up area, will be approximately 6,325 square feet and will assist in improving and extending the practice schedules for collegiate baseball. Ms. Hardin stated that the facility will be funded with private contributions through the Athletic Foundation.

Action. On a motion by Mr. Jamil, seconded by Mr. Klein, the Committee unanimously recommended that the Board of Trustees authorize the University to undertake a capital improvement project, Baseball Indoor Training Facility, with a budget of $850,000.

Request for Authorization of Capital Project – Softball Indoor Training Facility. Ms. Hardin stated that the pre-engineered softball indoor training facility would be approximately 5,340 square feet and will include four batting lanes and a storage area. Ms. Hardin noted that the exterior materials for the baseball and softball training facilities will include brick, in keeping with the campus aesthetic.
Action. On a motion by Mr. Jamil, seconded by Ms. Decker, the Committee unanimously recommended that the Board of Trustees authorize the University to undertake a capital improvement project, Softball Indoor Training Facility, with a budget of $650,000.

Request for Authorization to Acquire Real Property by Easement — Campus Circulation Improvements (Light Rail) Easement. Ms. Hardin stated that, in order to provide faculty, staff, and students safe access to campus from the J.W. Clay Light Rail station, improvements are including sidewalks, fencing, utilities, and landscaping are needed on land owned by the UNC Charlotte Foundation. The Foundation has agreed to grant the needed land to the University at no cost to make these improvements.

Action. On a motion by Ms. Decker, seconded by Mr. Jamil, the Committee unanimously recommended that the Board of Trustees authorize the University to acquire real property by easement for the Campus Circulation Improvement (Light Rail) Easement.

Request for Approval of Open-End Professional Service Agreement for FY2017/18. Ms. Hardin explained that the open-end professional service agreement allows University staff to pre-select a group of architects, engineers, and consultants for unspecified professional services needed in the future. Ms. Hardin stated that the University can call upon the firms on the list for small capital projects up to $500,000.

Action. On a motion by Mr. Jamil, seconded by Mr. Klein, the Committee unanimously recommended that the Board of Trustees approve the Open-End Professional Service Agreement for FY 2017/18.

Approval of Parking Systems Rates Regulations. Ms. Hardin stated that parking permit rates for the 2017-2018 year will remain the same as they were in 2016-2017: $450 for general parking, $150 for a gate card (premium lot parking), and $230 for reserved parking. Ms. Hardin stated that the hourly rate will increase (from $2 to $5), as will the daily maximum (from $10 to $15). Ms. Hardin stated that the parking ordinance has been updated to reflect the fall 2017 installation of the new parking system utilizing license plate recognition.

Action. On a motion by Mr. Jamil, seconded by Ms. Decker, the Committee approved the proposed changes in the University ordinance governing parking, traffic, and the registration of motor vehicles.

University Real Estate Presentation. Ms. Hardin noted that at the February 9 meeting, the Committee asked staff to share information regarding all University-owned land, including foundation and endowment holdings. With many projects happening in the area and the advent of light rail, the Committee also asked that the report include land and properties that the University might consider acquiring. Ms. Hardin introduced Phil Jones, Associate Vice Chancellor for Facilities Management, and Richard LaLiberté, University Real Estate Manager. Mr. Jones discussed the amount and location of all University-owned land and provided information about land owned by the University’s endowment fund, the Facilities Development Corporation, and the UNC Charlotte Foundation. Mr. Jones noted that the University’s endowment recently sold 27 acres to the city tree save program for $2.5 million and that a portion of these funds is being
used toward the new Admissions and Visitors Center and could go toward the future purchase of two of the five medical office buildings near the current Foundation Building. Mr. Jones also stated that the endowment purchased homes in the Craven Croft neighborhood to guide development in the University area. Mr. Jones stated that the Kirk property purchase is allowing the staff to realign the roads in the University area for better traffic control.

Committee discussion continued about private properties near the University, including The Flats apartments and the Martin Marietta Quarry. Mr. LaLiberté stated that the real estate services firm CASTO currently owns University Place properties that it would like to sell.

Mr. Klein asked if the University has a twenty-five- or fifty-year plan or if the University would be prepared for an enrollment of 45,000 students. Ms. Hardin stated that the recent 2010 University Master Plan is designed to prepare the University for 35,000 students and that the current five- to ten-year plan works toward completing the latter half of the master plan. Ms. Drew noted that the University still has vacant space and right of first refusal for properties around UNC Charlotte’s main campus.

Capital Projects Update. Mr. Jones stated that the Oak Hall renovation project was completed late, which required Housing and Residence Life to move students into temporary housing until the project was completed. Mr. Jones stated that the University has reached a settlement agreement with the contractor to cover additional costs incurred by late completion of the project.

With no further business, the meeting was adjourned.

Submitted: Elizabeth A. Hardin Date 11/07/2017
Vice Chancellor for Business Affairs

Approved: Theresa Drew Date 10/11/17
Chair, Facilities and Physical Properties Committee
The University of North Carolina at Charlotte
Board of Trustees

Thursday, April 20, 2017
Student Union, Multipurpose Room I

Open Session Minutes

Presiding: Joe L. Price, Chair
Assistant Secretary: Kim S. Bradley, Chief of Staff

Present
Tracey B. Allsbrook
Fahn K. Darkor
Sharon Alired Decker
Susan D. DeVore
Theresa J. Drew
David L. Hauser
Dhiaa Jamil
Fred W. Klein
Joe L. Price
Mary Ann Rouse
Laura A. Schulte
Michael L. Wilson

Absent
Catherine P. Bessant

Staff/Guests in Attendance
Philip L. Dubois, Chancellor
Jeff Baker, Chief of Police
Kim Bradley, Chief of Staff and Assistant Secretary to the Board of Trustees
Wade Bruton, University Photographer
Susan Burgess, Director, University Compliance
Mike Carlin, Vice Chancellor for Information Technology and Chief Information Officer
Anna Clark, Assistant to the Chief of Staff
Celeste Corpening, Staff Council Vice Chair
Christine Reed Davis, Dean of Students
Betty Doster, Special Assistant for Constituent Relations
Shari Dunn, Senior Executive Assistant to the Chancellor
Sarah Edwards, Assistant General Counsel
Beth Fischer, Executive Director, University Development
Beth Hardin, Vice Chancellor for Business Affairs
Sherrie High, University Budget Director
Jesh Humphrey, Vice Chancellor and General Counsel
Art Jackson, Vice Chancellor for Student Affairs
Jane Johansen, Director, Treasury Services
Phil Jones, Associate Vice Chancellor for Facilities Management
Brett Keeter, District Director, Office of Congressman Patrick McHenry
Joan Lorden, Provost and Vice Chancellor for Academic Affairs
Paul Nowell, Senior Communications Manager
Raheel Qureshi, Interim Director of Compliance
Judy Rose, Director of Athletics
Brenda Shue, Executive Assistant to the Chancellor
Niles Sorensen, Vice Chancellor for University Advancement
Dave Spano, Associate Vice Chancellor for Health Programs and Counseling Center Director
Penny Stevens, Staff Council Chair
Jennifer Ward, Liaison to the Board of Trustees and the Chancellor’s Cabinet
Stephen Ward, Executive Director, University Communications
Bob Wilhelm, Vice Chancellor for Research and Economic Development
Leslie Zenk, Associate Provost

Call to Order. Mr. Price called the meeting to order and reminded members of the Board of their duty to avoid conflicts of interest and appearances of conflicts under the State Government Ethics Act in accordance with Chapter 138A of the North Carolina General Statutes and advised the Trustees to make him aware of any conflict of interest or any appearance of a conflict of interest with respect to any matters coming before the Board at any time during this meeting. No conflicts of interest were reported.

Introductions and Announcements. Mr. Price reminded the Board about several upcoming events:

- A Board of Governors reception hosted by Karen Popp on Thursday, May 18.
- The University’s three commencement ceremonies, May 12 and 13.
- The Distinguished Service Award Luncheon honoring Dianne English on Thursday, June 1.

Trustee Recognition. Mr. Price thanked outgoing Student Government Association (SGA) President Fahn Darkor for his service on the Board of Trustees and presented a gift from the Board.

Introduction and Swearing-In of New Student Body President. Mr. Darkor introduced the new SGA president, Tracey Allsbrook. Mr. Price called on Ms. Clark, who officially swore in Ms. Allsbrook as a member of the Board of Trustees.

Trustee Recognition. Mr. Price recognized Ms. Cathy Bessant, who was not present, and Ms. Popp and Mr. Hauser for their service to the University as members of the Board of Trustees. Mr. Price
stated that Ms. Popp had served on the Board since 2007, including as chair for three years, as vice chair for one year, and as chair of the Academic and Student Affairs Committee for three years. Mr. Price stated that Ms. Popp is one of the University’s most accomplished alumni. Mr. Price stated that Mr. Hauser had served on the Board since 2009, had served on the Academic and Student Affairs, Facilities and Physical Properties, and Athletics Committees, and had provided valuable support for many initiatives, including football. Mr. Price presented gifts to Ms. Popp and Mr. Hauser.

Approval of Minutes. Mr. Price requested action on the minutes of the February 9, 2017, meeting of the Board of Trustees.

Action. On a motion by Mr. Wilson, seconded by Mr. Hauser, the Trustees approved the February 9, 2017, Board of Trustees meeting minutes.

Chancellor’s Remarks. Chancellor Dubois reported that UNC Charlotte, Charlotte Mecklenburg Schools, Central Piedmont Community College, and Communities in Schools are discussing outreach to guide and support middle school students as they begin to consider postsecondary education options. Chancellor Dubois stated that the Early College for Prospective Teachers will open on campus in fall 2017 with an initial cohort of 55 students. The Chancellor stated that the University has raised a portion of the $10 million private match needed before the University requests that the General Assembly provide an additional $10 million to build permanent buildings for both of the early colleges on campus.

The Chancellor reported that he and Dr. Lorden are working with several Chapel Hill colleagues to consider graduate-level programming in legal studies. The Chancellor stated that demand for this level of legal education appears to exist, particularly in heavily regulated fields such as finance and healthcare. The Chancellor stated that he is continuing to work with a consultant to determine what role the University might play in medical education, and what facilities would be needed if the University begins to host first- and second-year students from the UNC School of Medicine.

The Chancellor stated that the search for a new vice chancellor for Student Affairs has been narrowed to one finalist, and that feedback from campus participants in the search is underway.

The Chancellor also stated that the Industry Executive Advisory Board for the University’s Data Science Initiative had met for a second time and is discussing the possibility of developing an undergraduate major and possibly a Ph.D. in Data Science.

Chancellor Dubois stated that Mr. Humphrey and Ms. Bradley are working through the details associated with the creation of a new Division of Institutional Integrity, and that the target date for implementing the new division is July 1. The Chancellor stated that the division will oversee the Office of Legal Affairs, the Office of Ethics and Compliance, the Internal Audit Department, the Title IX Office, and Enterprise Risk Management.

Chancellor Dubois stated that at its upcoming retreat the Cabinet would review the University’s 2021-2026 capital construction plan and would discuss in particular whether the Cone Center would be renovated or replaced. The Chancellor said he will continue to consult with the Board of Trustees as further analysis of options for the Cone Center is completed.

Chancellor Dubois stated that applications for fall freshmen enrollment are down by 2 or 3 percent, and that the University expects to enroll approximately 3,300 freshmen, slightly lower
that the target, in the fall. The Chancellor stated that the University will offer freshmen $150,000 in need-based scholarships. The Chancellor stated that graduate applications from U.S. citizens are down by approximately 4 percent and down by 15% from international students. The Chancellor stated that the University expects a fall enrollment of approximately 29,000 students. The Chancellor discussed how enrollment affects funding and stated that he hopes to participate in Board of Governors discussions about the UNC system’s funding formula. Ms. Price asked how the quality of applicants has changed, and Dr. Lorden stated that the University has seen an increase in high school grade point averages and SAT scores in the last five to seven years.

Legislative Update. Ms. Doster thanked Ms. Decker, Mr. Wilson, Ms. Schulte, and Ms. Popp for attending a recent legislative event. Ms. Doster updated the Board on University-related business in the North Carolina General Assembly and stated that enrollment growth is the University’s most important agenda item. Ms. Doster referred the Trustees to legislative priorities included in the boardbook. Ms. Doster stated that the University has more alumni than ever serving in the General Assembly. Ms. Doster discussed the issue of management flexibility for the approval of capital projects and stated that the University hopes that the currently low ceiling is increased. Ms. Doster stated that the General Assembly has voted to reduce the size of the Board of Governors to 24 over the next four years.

Campaign Update. Mr. Sorensen updated the Board on the progress of the Exponential Campaign, stating that the campaign has raised $126,332,330, or 63 percent of the $200 million goal and currently has $7,750,000 in additional commitments. Mr. Sorensen discussed the participation of various donor groups, quarterly campaign progress, the fall 2017 leadership phase of the campaign and the September 2018 faculty and staff campaign.

Hotel and Conference Center Update. Mr. Sorensen updated the Board on discussions related to the proposed hotel and conference center. Mr. Sorensen discussed the proposed location and size of the hotel and stated that the development cost of the hotel and parking is estimated to be $89 million. Mr. Sorensen that the target date for opening would be July 2019. Mr. Sorensen stated that the trustees of the UNC Charlotte Foundation have expressed a great deal of enthusiasm for the project.

Impact of Board of Governors’ Strategic Plan on UNC Charlotte. Chancellor Dubois discussed the UNC System Strategic Plan, which includes goals within five primary strategic areas: access; student success; affordability and efficiency; economic impact and community engagement; excellent and diverse institutions. The Chancellor discussed the goals for each of these main areas and examined the metrics that the University will use to evaluate its progress and will report to the General Administration.

Revision of Board of Trustees Bylaws. Mr. Humphrey presented changes to the Board of Trustees bylaws necessitated by recent legislation giving the North Carolina General Assembly, rather than the governor, power to appoint trustees to UNC institutions’ boards. Mr. Price presented a motion from the Executive Committee to approve the changes to the bylaws.
Action. The Board unanimously approved the changes to the Board of Trustees bylaws as discussed.

The University’s Financial Health. Ms. Hardin presented data on the University’s financial health, including an overview of five-year operating revenues and expenditures; capital assets, including structure, investment, and credit ratings; and the assets of the University’s six affiliated entities. Ms. Hardin also presented regional demographics and data on economic and population growth and discussed how that growth could affect the University. Mr. Price asked what the University’s goal is for residence hall occupation, and Chancellor Dubois stated that the target is 90 percent.

Alcohol Education, Treatment, and Enforcement Programs. Mr. Price stated that, because of time constraints, this presentation would be deferred to a later meeting.

Report of the University Faculty Council. Mr. Price stated that Ms. Walker was unable to attend the meeting and noted that the Faculty Council report is available in the boardbook.

Report of the University Staff Council. Ms. Stevens presented the Staff Council report as published in the boardbook for the meeting.

Report of the Executive Committee. Mr. Price stated that Mr. Wilhelm had presented changes to the University policy governing the University’s centers and institutes and asked Mr. Wilhelm to present those changes.

University Policy 314, Centers and Institutes. Mr. Wilhelm discussed changes to the policy governing the University’s centers and institutes and minor revisions to the University’s procedures for the establishment, review, and discontinuation of centers and institutes.

Report of the Officer Nominating Committee. Ms. Rouse reported that the Officer Nominating Committee will meet soon to nominate officers and will bring those nominations to the Board of Trustees at the July conference call meeting.

Report of the Academic and Student Affairs Committee. Ms. Schulte reported that Dr. John Ziegert, Professor of Mechanical Engineering and Engineering Science, had discussed a collaboration between UNC Charlotte and Siemens Energy Charlotte that has included ten research and development projects to date. Ms. Schulte also stated that Dr. Reynolds and Dr. Hall-Hertel had discussed graduate programming and how the University’s graduate program align with regional and state workforce needs.

Report of the Athletics Committee. Ms. DeVore stated that the Committee had reviewed the Athletics budget for 2017-2018 and a comparison of the 2016-2017 and 2017-2018 budgets; the University’s NCAA compliance reports; and the annual gender equity and Title IX report. Ms. DeVore stated that Ms. Rose had discussed Athletics facilities that are under construction, including baseball and softball hitting facilities, and had given the Committee a general update on the Athletics department.
Report of the Facilities and Physical Properties Committee. Ms. Drew reported that the Committee had reviewed the parking ordinance and regulations for 2017-2018 and that parking rates will not change from 2016-2017 rates.

Ms. Drew presented a motion from the Committee to authorize an increase in the capital project authorization for Campus Storm Water Improvements Phase I – Davis Lake, from $450,000 to $700,000, because of design changes and cost escalations since the project was approved.

**Action.** The Board unanimously authorized the University to increase the capital project authorization for Campus Storm Water Improvements Phase I – Davis Lake, from $450,000 to $700,000.

Ms. Drew presented a motion from the Committee to authorize the University to undertake the capital project Hawthorn Hall Basement Renovation with a budget of $400,000.

**Action.** The Board unanimously approved the capital project Hawthorn Hall Basement Renovation with a budget of $400,000.

Ms. Drew presented a motion from the Committee to authorize the University to undertake the capital project Baseball Indoor Training Facility with a budget of $850,000.

**Action.** The Board unanimously approved the capital project Baseball Indoor Training Facility, with a budget of $850,000.

Ms. Drew presented a motion from the Committee to authorize the University to undertake the capital project Softball Indoor Training Facility with a budget of $650,000.

**Action.** The Board unanimously approved the capital project Softball Indoor Training Facility with a budget of $650,000.

Ms. Drew presented a motion from the Committee to authorize the University to acquire real property by easement to improve campus circulation related to the construction of light rail lines.

**Action.** The Board unanimously approved the acquisition of real property by easement, as requested.

Report of the Audit, Compliance, and Enterprise Risk Management Committee. Mr. Price stated that Mr. Qureshi had updated the Committee on progress on the 2017 Audit Plan and on recent Internal Audit reports. Mr. Price stated that the Committee had approved the fiscal year 2018 Audit Plan.

Mr. Price stated that Ms. Newell had updated the Committee on Title IX education that had been conducted for students, faculty, and staff. Mr. Price stated that Ms. Burgess had updated the Committee on recent confidential compliance reports. Mr. Price stated that the Committee’s closed session items would be reviewed in closed session.
Report of the Student Government Association (SGA). Ms. Allsbrook reported that the SGA is collecting responses to a questionnaire asking students for input on the University's add/drop period. Ms. Allsbrook also stated that the SGA has added a new leadership position, Speaker of the House. Ms. Allsbrook stated that the SGA is promoting a new campus tradition, encouraging incoming freshmen to put a coin in the miner statue fountain when they first come to campus and again when they graduate.

Motion to Convene in Closed Session. Mr. Price called for a motion for the Board to convene in closed session.

Action. On a motion by Mr. Wilson, seconded by Ms. Popp, the Board convened in closed session pursuant to the following General Statutes of the State of North Carolina:

a. N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;

b. N.C.G.S. §143-318.11(a)(3), to consult with and receive advice from counsel; and
c. N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, or prospective public officer or employee.

Closed session minutes are filed in the office of the Assistant Secretary to the Board of Trustees.

Open Session

Adjournment. There being no additional items, Mr. Price adjourned the meeting.

Submitted: /s/ Kim S. Bradley 10/11/17
Kim S. Bradley
Assistant Secretary to the Board
UNC Charlotte Board of Trustees

Approved: /s/ Joe L. Price, Chair 10/11/17
Joe L. Price, Chair
UNC Charlotte Board of Trustees