Audit, Compliance, and Enterprise Risk Management Committee Meeting
Tuesday, February 11, 2020, 8:00–9:30 a.m.
Popp Martin Student Union, 3rd Floor, Multipurpose Room 1

Agenda

The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order
   Susan DeVore, Acting Chair

2. Approval of the December 11, 2019, Meeting Minutes
   Susan DeVore, Acting Chair
   Documents: December 11, 2019, Meeting Minutes—Open Session
              December 11, 2019, Meeting Minutes—Closed Session

   Anne Brown, Associate Vice Chancellor for Finance
   Laura Williams, University Controller
   Greg Verret, Director of Treasury Services
   Via conference call:
   Zach Rogers, Financial Audit Manager, Office of the State Auditor
   Documents: The University of North Carolina at Charlotte Financial Statement Audit Report for the Year Ended June 30, 2019
   Annual Financial Audits of Affiliated Entities:
   • The Foundation of the University of North Carolina at Charlotte, Inc.
     Consolidated Financial Statements for the Years Ended June 30, 2019 and 2018, and Independent Auditor’s Report
   • The Athletic Foundation of the University of North Carolina at Charlotte
     Financial Statements for the Years Ended June 30, 2019 and 2018, and Independent Auditor’s Report
   • The University of North Carolina at Charlotte Facilities Development Corporation, Inc. Financial Statements for the Years Ended June 30, 2019 and 2018, and Independent Auditor’s Report
   • The University of North Carolina at Charlotte Investment Fund, Inc.
     Financial Statements for the Years Ended June 30, 2019 and 2018, and Independent Auditor’s Report
• Ventureprise, Inc. Financial Statements for the Years Ended June 30, 2019, and 2018, and Independent Auditor’s Report

   Laura Williams, University Controller
   Darin Spease, Deputy Athletic Director

5. Internal Audit Update
   Jennifer Walker, Chief Audit Officer
   Documents: FY 2020 Audit Plan Update
               Summary of Internal Audit Reports Issued
               Internal Audit Findings Exception Report
               UNC Charlotte Internal Audit Organization Chart
               List of Internal Audit Positions by Location

6. Compliance Update
   Sue Burgess, Chief Compliance Officer

7. Motion to Convene in Closed Session
   Susan DeVore, Acting Chair
   a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7; and
   b. to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11 (a)(3).
   c. to consider the qualifications, competence, performance, or condition of appointment of an employee pursuant to N.C.G.S. §143-318.11(a)(6).

   Closed Session

8. Title IX Update
   Michelle Reinken, Title IX Coordinator
   Document: Title IX Compliance Program “Snapshot”

   Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel

10. Cato College Grant
    Jennifer Walker, Chief Audit Officer

11. Motion to Resume in Open Session
    Susan DeVore, Acting Chair
Open Session

12. Other Business
    Susan DeVore, Acting Chair

13. Adjourn
    Susan DeVore, Acting Chair

Members
Theresa Drew, Chair
Susan DeVore, Vice Chair
Dennis Bunker III
Christine Katziff
Mike Wilson, ex officio
The University of North Carolina at Charlotte
Board of Trustees

Executive Committee Meeting
Tuesday, February 11, 2020, 9:30–10:30 a.m.
Popp Martin Student Union, Third Floor, Room 1

Agenda

The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order
   Michael L. Wilson, Chair

2. Approval of the December 11, 2019, Meeting Minutes
   Michael L. Wilson, Chair
   Documents: December 11, 2019, Meeting Minutes - Open Session
              December 11, 2019, Meeting Minutes - Closed Session

3. Chancellor Search Update
   Michael L. Wilson, Chair

4. Chancellor Transition Process
   Michael L. Wilson, Chair

5. Nominating Committee
   Michael L. Wilson, Chair

6. Motion to Convene in Closed Session
   Michael L. Wilson, Chair
a. prevent the disclosure of information that is privileged or confidential pursuant to the law of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes pursuant to N.C.G.S. §143-318.11 (a)(1);
b. Pursuant to N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee; and
c. establish, or to instruct the public body’s staff concerning the amount of compensation and other material terms of an employment contract or proposed employment contract pursuant to N.C.G.S. §143-318.11 (a)(5);

Closed Session

7. Athletics Employment Agreements
   Philip L. Dubois, Chancellor
   Document: Amendment to Employment Agreement 1
               Amendment to Employment Agreement 2
               Amendment to Employment Agreement 3

8. Quarterly Report of EHRA Non-Faculty Actions and Salary Adjustments
   Beth Hardin, Vice Chancellor for Business Affairs
   Documents: Quarterly Report of EHRA Non-Faculty Actions
               Quarterly Report of EHRA Non-Faculty Salary Adjustments

9. Naming Opportunity
   Niles Sorensen, Vice Chancellor for University Advancement

10. Chancellor Search Update
    Michael L. Wilson, Chair

11. Motion to Resume in Open Session
    Michael L. Wilson, Chair

Open Session

12. Action on Athletics Employment Agreements
    Michael L. Wilson, Chair

13. Other Business
    Michael L. Wilson, Chair

14. Adjourn
    Michael L. Wilson, Chair
Executive Committee Members
Michael L. Wilson, Chair
Dennis Bunker
Chandler Crean
Susan D. DeVore

Theresa J. Drew
Fred W. Klein
Mary Ann Rouse
Teross W. Young
Agenda

The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order
   Dennis N. Bunker III, Chair

2. Approval of the December 11, 2019, Meeting Minutes
   Dennis N. Bunker III, Chair
   Document: December 11, 2019, Open Session Meeting Minutes

3. Education: Esports at UNC Charlotte
   Kevin Bailey, Vice Chancellor for Student Affairs
   Kevin Colwell, Advisor, Esports at UNC Charlotte
   Andrew Kirkman, Computer Science, President – Esports at UNC Charlotte
   Document: Esports at UNC Charlotte

4. Research Spotlight: Unemployment Shock: Men and Female-dominated Jobs
   Rick Tankersley, Vice Chancellor for Research and Economic Development
   Jill Yavorsky, Assistant Professor, Department of Sociology
   Document: Unemployment Shock: Men & Female-dominated Jobs

5. Other Business
   Dennis N. Bunker III, Chair

6. Adjourn
   Dennis N. Bunker III, Chair

Members
Dennis N. Bunker III, Chair
Theresa J. Drew
Christine P. Katziff
David W. Mildenberg
Michael L. Wilson, ex officio
The University of North Carolina at Charlotte
Board of Trustees

Athletics Committee Meeting
Tuesday, February 11, 2020, 10:30-11:30 a.m.

Agenda

The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order
   J. Brett Keeter, Acting Chair

2. Approval of the December 11, 2019, Meeting Minutes
   J. Brett Keeter, Acting Chair
   Document: December 11, 2019, Meeting Minutes

3. Football Recap
   Will Healy, Head Football Coach

4. Lacrosse Update
   Ragean Hill, Executive Associate Athletic Director/SWA

5. Employment Contract Updates
   Mike Hill, Director of Athletics

6. Athletic Department Update
   Mike Hill, Director of Athletics

7. Other Business
   J. Brett Keeter, Acting Chair

8. Adjourn
   J. Brett Keeter, Acting Chair

Members
Teross W. Young Jr., Chair
J. Brett Keeter, Vice Chair
Chandler Crean
Sharon Decker
Mike Wilson, ex officio
The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order

2. Approval of Meeting Minutes
   Fred W. Klein, Chair
   Document: December 11, 2019, Meeting Minutes

3. Request for Authorization of Advance Planning – Remembrance Memorial
   Beth Hardin, Vice Chancellor for Business Affairs
   Jon Varnell, Associate Vice Chancellor for Facilities Management
   Documents: Request for Authorization for Advance Planning
               Remembrance Memorial

4. Request for Authorization for a Capital Project
   Beth Hardin, Vice Chancellor for Business Affairs
   Jon Varnell, Associate Vice Chancellor for Facilities Management
   Documents: Request for Authorization for Capital Project
               Cone Center North Roof Replacement

5. Campus Infrastructure Projects
   Beth Hardin, Vice Chancellor for Business Affairs
   Jon Varnell, Associate Vice Chancellor for Facilities Management
   Document: Campus Infrastructure Projects -Information Only

6. UNC Charlotte Center City Parking
   Beth Hardin, Vice Chancellor for Business Affairs
   Rich Steele, Associate Vice Chancellor for Business Services
   Document: Center City Parking Update – Information Only
7. Capital Projects Update  
   Beth Hardin, Vice Chancellor for Business Affairs  
   Jon Varnell, Associate Vice Chancellor for Facilities Management  
   Document: Capital Improvement Projects

8. Other Business  
   Fred W. Klein, Chair

9. Adjourn  
   Fred W. Klein, Chair

Members  
Fred W. Klein, Chair  
Mary Ann Rouse, Vice Chair  
Susan DeVore  
Carlos Sanchez  
Michael Wilson, ex officio
UNC CHARLOTTE
The University of North Carolina at Charlotte
Board of Trustees

Tuesday, February 11, 2020
Popp Martin Student Union, Room ABDE

Schedule

8:00–9:30 a.m.  Audit, Compliance, and Enterprise Risk Management Committee Meeting
                Popp Martin Student Union, Third Floor, Room I

9:30–10:30 a.m. Executive Committee Meeting
                Popp Martin Student Union, Third Floor, Room I

10:30–11:30 a.m. Academic and Student Affairs Committee Meeting
                Popp Martin Student Union, Third Floor, Room I

10:30–11:30 a.m. Athletics Committee Meeting
                Popp Martin Student Union, Third Floor, Room C

10:30–11:30 a.m. Facilities and Physical Properties Committee Meeting
                Popp Martin Student Union, Third Floor, Room F

11:45 a.m.–12:15 p.m. Tour of UREC
                        University Recreation Center

12:15–12:45 p.m.  Lunch
                    Popp Martin Student Union, Third Floor, Room H

12:45–3:00 p.m.  Board Will Convene in the Committee of the Whole
                    Popp Martin Student Union, Third Floor, Room ABDE

Board of Trustees Agenda

The Board Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the Board of Trustees of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.
1. Call to Order
   Michael L. Wilson, Chair

2. Introductions and Announcements
   Michael L. Wilson, Chair

3. Remarks from Board of Governors Liaison
   David Powers, Member of the Board of Governors

4. Approval of December 11, 2019, Meeting Minutes
   Michael L. Wilson, Chair
   Documents: December 11, 2019, Meeting Minutes—Open Session
              December 11, 2019, Meeting Minutes—Closed Session

5. Remarks by the Chancellor
   Philip L. Dubois, Chancellor

6. Football Program Update
   Will Healy, Football Head Coach

7. Campus Recovery Perceptions
   Colleen B. Penhall, Chief Communications Officer
   Document: Perceptions of the Recovery – Summary of Research Findings

8. Legislative Update
   Betty M. Doster, Special Assistant for Constituent Relations

9. Resolution Regarding House Bill 966 and Senate Bill 354
   Philip L. Dubois, Chancellor
   Document: Resolution of the Board of Trustees of the University of North Carolina at Charlotte

10. One IT Reorganization
    Michael S. Carlin, Vice Chancellor for Information Technology and Chief Information Officer
    Document: Becoming One IT

11. Report of the University Faculty Council
    Joel D. Avrin, Faculty President
    Document: Faculty Council Report

12. Report of the University Staff Council
    Celeste M. Corpening, Staff Council Chair
    Document: Staff Council Report

    Chandler A. Crean, Student Body President and Trustee
    Document: Student Government Association Updates
14. Report of the Executive Committee  
   Michael L. Wilson, Chair

15. Report of the Academic and Student Affairs Committee  
   Dennis N. Bunker III, Chair

16. Report of the Athletics Committee  
   Teross W. Young, Chair

17. Report of the Facilities and Physical Properties Committee  
   Fred W. Klein, Chair

18. Report of the Audit, Compliance, and Enterprise Risk Management Committee  
   Susan DeVore, Acting Chair

19. Other Business  
   Michael L. Wilson, Chair

20. Motion to Convene in Closed Session  
   Michael L. Wilson, Chair

   a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;  
   b. to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11(a)(3);  
   c. pursuant to N.C.G.S §143-318.11 (a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, or prospective public officer or employee;

**Closed Session**

21. Chancellor Search Update  
   Michael L. Wilson, Chair

22. Action on Naming Opportunity  
   Niles Sorensen, Vice Chancellor for University Advancement

23. Report of the Executive Committee  
   Michael L. Wilson, Chair

24. Report of the Audit, Compliance, and Enterprise Risk Management Committee  
   Susan DeVore, Acting Chair
25. Motion to Resume in Open Session
   Michael L. Wilson, Chair

Open Session

26. Adjourn
   Michael L. Wilson, Chair

Members of the Board of Trustees
Michael L. Wilson
Dennis N. Bunker III
Chandler A. Crean
Sharon Allred Decker
Susan D. DeVore
Theresa J. Drew
Christine P. Katziff
J. Brett Keeter
Fred W. Klein Jr.
David W. Mildenberg
Mary Ann Rouse
Carlos E. Sanchez
Teross W. Young Jr.