The University of North Carolina at Charlotte
Board of Trustees

Audit, Compliance, and Enterprise Risk Management Committee Meeting
Thursday, December 3, 2015, 8:00–9:30 a.m.
Student Union, Room 261

Agenda

The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. At this time, I will ask whether any member of this committee is aware of any conflict of interest or any appearance of a conflict of interest with respect to any matters coming before the committee during this meeting. Should you become aware of a conflict of interest or the appearance of a conflict of interest later in this meeting, please notify me immediately.

1. Approval of the October 2, 2015, Meeting Minutes 8:00 a.m.
   Catherine P. Bessant, Chair
   Document: October 2, 2015, Meeting Minutes

2. Internal Audit Update 8:00-8:15 a.m.
   Tom York, Director of Internal Audit
   Documents: Introduction of Internal Audit Staff
               Annual Audit Plan FY2016 Update
               Summary of Internal Audit Reports Issued Since Last Meeting
               Construction Audits Update
               Active Findings Report
               Quality Assurance and Improvement Program

3. Compliance Update 8:15-8:25 a.m.
   Sue Burgess, Director of Compliance
   Documents: Compliance Risk Assessment

4. ITS: Meeting the ISO Standards and Ensuring Security and Access (Orientation) 8:25-8:45 a.m.
   Mike Carlin, Vice Chancellor for Information Technology and Chief Information Officer
   Rowanne Joyner, Chief Information Security Officer
   Documents: UNC Charlotte Information Security Program
               Reference Indexing ISO 27002 Framework
5. Motion to Convene in Closed Session  8:45 a.m.
Catherine P. Bessant, Chair

a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7; and

b. to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11 (a)(3); and

c. to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee pursuant to N.C.G.S. §143-318.11(a)(6).

Closed Session

6. Report on Litigation and Risk Management Issues  8:45-9:00 a.m.
David Broome, Vice Chancellor and General Counsel

7. Title IX Reports  9:00-9:10 a.m.
Dawn Floyd, Title IX Coordinator

8. Motion to Resume in Public Session  9:10 a.m.
Catherine P. Bessant, Chair

Public Session

9. Internal Audit and Compliance Executive Session (with Trustees and Assistant Secretary)  9:10-9:30 a.m.
Tom York, Director of Audit
Susan Burgess, Director of Compliance

10. Adjourn  9:30 a.m.
Catherine P. Bessant, Chair

Members
Catherine P. Bessant, Chair
Mary Ann Rouse
Laura A. Schulte
Michael L. Wilson
Karen A. Popp, Ex Officio
The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. At this time, I will ask whether any member of this committee is aware of any conflict of interest or any appearance of a conflict of interest with respect to any matters coming before the committee during this meeting. Should you become aware of a conflict of interest or the appearance of a conflict of interest later in this meeting, please notify me immediately.

1. Approval of the October 2, 2015, Meeting Minutes
   Karen A. Popp, Chair
   Document: October 2, 2015, Meeting Minutes

2. Associated Entities (Orientation)
   Jesh Humphrey, Deputy General Counsel
   Document: Associated Entities

3. Department of Defense Resolution
   Philip L. Dubois, Chancellor
   Document: Resolution for Exclusion of Certain Directors or Officers

4. Belk Tower Update
   Philip L. Dubois, Chancellor

5. Health and Wellness Center Update
   Philip L. Dubois, Chancellor
   Judy Rose, Director of Athletics
   Jim Hoppa, Associate Vice Chancellor, Student Union, Activities, and Recreation
   Document: Health and Wellness Center
6. Connect NC Bond Resolution
   Philip L. Dubois, Chancellor
   Betty Doster, Special Assistant to the Chancellor for Constituent Relations
   Document: Connect NC Bond Resolution

7. Motion to Convene in Closed Session
   Karen A. Popp, Chair
   a. To consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee pursuant to N.C.G.S. §143-318.11(a)(6);
   b. to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11(a)(3); and
   c. to prevent the premature disclosure of an honorary degree, scholarship, prize, or similar award pursuant to N.C.G.S. §143.318.11(a)(2).

   Closed Session

8. Quarterly Report of EPA Non-Faculty Actions
   Beth Hardin, Vice Chancellor for Business Affairs
   Document: Quarterly Report of EPA Non-Faculty Actions

9. Women’s Golf Coach Contract
   Judy Rose, Director of Athletics
   Document: Draft Employment Agreement

10. Honorary Degree Nominations
    Philip L. Dubois, Chancellor
    Documents: Honorary Degree Recommendation Letter
                Fannie Flono Nomination Letter
                Emily Zimmern Nomination Letter
                Policy, Criteria, and Procedures for the Awarding of Honorary Degrees
                Honorary Degrees Confirmed
                Honorary Degree Pool

11. Distinguished Service Award Selection Update
    Philip L. Dubois, Chancellor

12. Appointments to the Board of Trustees of the Endowment Fund
    Beth Hardin, Vice Chancellor for Business Affairs
    Document: Board of Trustees of the Endowment Fund New Appointments
                Board of Trustees of the Endowment Fund Membership

13. Motion to Resume in Open Session
    Karen A. Popp, Chair
Open Session

   Karen A. Popp, Chair

15. Action on Appointments to the Board of Trustees of the Endowment Fund
   Karen A. Popp, Chair

16. Other Business
   Karen A. Popp, Chair

17. Adjourn
   Karen A. Popp, Chair

Members
Karen A. Popp, Chair
Catherine P. Bessant
Theresa J. Drew
Dhiaa Jamil
Joe L. Price
Laura A. Schulte
Michael L. Wilson
The University of North Carolina at Charlotte
Board of Trustees

Academic and Student Affairs Committee Meeting
Thursday, December 3, 2015, 10:30 a.m.–11:30 a.m.
Student Union, Room 261

Agenda

The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. At this time, I will ask whether any member of this committee is aware of any conflict of interest or any appearance of a conflict of interest with respect to any matters coming before the committee during this meeting. Should you become aware of a conflict of interest or the appearance of a conflict of interest later in this meeting, please notify me immediately.

1. Approval of Meeting Minutes
   Laura A. Schulte, Chair
   Document: October 2, 2015, Meeting Minutes
              October 16, 2015 Special Meeting Minutes

2. Education Dashboards
   Ellen McIntyre, Dean, College of Education
   Website: http://tqdashboard.northcarolina.edu/

3. Research and Economic Development Spotlight
   Bob Wilhelm, Vice Chancellor for Research and Economic Development
   Christine Davis, Professor, Department of Communication Studies
   Document: Compassionate Communication
             Article: Life, Death and Reality, by Christine S. Davis

4. ITS: Meeting the ISO Standards and Ensuring Security and Access (Orientation)
   Mike Carlin, Vice Chancellor for Information Technology and Chief Information Officer
   Rowanne Joyner, Chief Information Security Officer
   Documents: UNC Charlotte Information Security Program
              Reference Indexing ISO 27002 Framework
5. Motion to Convene in Closed Session
   Laura A. Schulte, Chair
   
   a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is
      privileged or confidential pursuant to the laws of this State or of the United States, or not
      considered a public record within the meaning of Chapter 132 of the General Statutes,
      such privilege or confidentiality arising under the Family Educational Rights and Privacy
      Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7; and
   b. to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11 (a)(3).

Closed Session

6. Student Disciplinary Appeal
   Dave Broome, Vice Chancellor and General Counsel

7. Motion to Resume in Public Session
   Laura A. Schulte, Chair

Public Session

8. Adjourn
   Laura A. Schulte, Chair

Members
Laura A. Schulte, Chair
Susan D. DeVore
Mary Ann Rouse
Michael L. Wilson
Karen A. Popp, Ex Officio
The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. At this time, I will ask whether any member of this committee is aware of any conflict of interest or any appearance of a conflict of interest with respect to any matters coming before the committee during this meeting. Should you become aware of a conflict of interest or the appearance of a conflict of interest later in this meeting, please notify me immediately.

1. Approval of the October 2, 2015, Meeting Minutes
   Dhiaa Jamil, Chair
   *Document: October 2, 2015, Athletics Committee Meeting Minutes*

2. Volleyball Update
   Judy Rose, Director of Athletics
   Karen Weatherington, Head Volleyball Coach

3. Athletic Academic Services (Orientation)
   Lisa Hibbs, Director of Athletic Academic Services
   *Document: The Charlotte 49ers Athletic Academic Center*

4. Institutional Effectiveness Report
   Kim Whitestone, Senior Associate Athletics Director
   *Document: Strategic Plan Scorecard 2014-2015: Athletics*

5. Athletics Mission Statement
   Judy Rose, Director of Athletics
   *Document: Department of Athletics Mission Statement*

6. Athletics Committee Annual Report Schedule
   Dhiaa Jamil, Chair
   *Document: Athletics Committee Calendar*
7. Chapel Hill Athletic Guidelines
   Phil Dubois, Chancellor
   Judy Rose, Director of Athletics
   Document: UNC-CH Academic Processes for Student-Athletes

8. Other Business
   Dhiaa Jamil, Chair

Members
Dhiaa Jamil, Chair
Catherine P. Bessant
Mitchell J. Daratony
David L. Hauser
Karen A. Popp, Ex Officio
The University of North Carolina at Charlotte
Board of Trustees

Facilities and Physical Properties Committee Meeting
Thursday, December 3, 2015
Student Union, Room 263

Agenda

The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. At this time, I will ask whether any member of this committee is aware of any conflict of interest or any appearance of a conflict of interest with respect to any matters coming before the committee during this meeting. Should you become aware of a conflict of interest or the appearance of a conflict of interest later in this meeting, please notify me immediately.

1. Approval of Meeting Minutes 10:30-10:32 a.m.
   Theresa Drew, Chair
   Document: October 2, 2015, Meeting Minutes

2. Belk Tower Update 10:32-10:40 a.m.
   Philip L. Dubois, Chancellor
   Chris Gilbert, Director of Facilities Planning

3. Health and Wellness Center Update 10:40-10:50 a.m.
   Philip L. Dubois, Chancellor
   Jim Hoppa, Associate Vice Chancellor, Student Union, Activities, and Recreation
   Document: Health and Wellness Center

4. Request for Capital Project Authorizations 10:50-11:00 a.m.
   Beth Hardin, Vice Chancellor for Business Affairs
   Documents: Belk Plaza Revitalization
               Colvard Renovations
               Sycamore Hall Renovation
               Irwin Belk Track Resurfacing
               Hickory/Cedar Swing Space Renovation

5. Real Property Transactions and Procedures (Orientation) 11:00-11:15 a.m.
   Beth Hardin, Vice Chancellor for Business Affairs
   Document: Real Property Transactions and Procedures
6. Five-Year Capital Plan Update  
   Beth Hardin, Vice Chancellor for Business Affairs  
   Document: Five-Year Capital Plan  

7. Capital Improvement Projects Update  
   Beth Hardin, Vice Chancellor for Business Affairs  
   Document: Capital Improvement Projects Update  

Members  
Theresa Drew, Chair  
Sharon Decker  
Fred Klein  
Joe Price  
Karen A. Popp, Ex Officio
UNC Charlotte Board of Trustees

Thursday, December 3, 2015
Student Union, Third Floor, Multipurpose Room I

Schedule

8:00-9:30 a.m. Audit, Compliance, and Enterprise Risk Management Committee Meeting  
Student Union, Room 261

9:30-10:30 a.m. Executive Committee Meeting  
Student Union, Room 261

10:30-11:30 a.m. Academic and Student Affairs Committee Meeting  
Student Union, Room 261

10:30-11:30 a.m. Athletics Committee Meeting  
Student Union, Room 264

10:30-11:30 a.m. Facilities and Physical Properties Committee Meeting  
Student Union, Room 263

12:00-3:30 p.m. Board of Trustees  
Student Union, Third Floor, Multipurpose Room I

Board of Trustees Agenda

The Board Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the Board of their duty to avoid conflicts of interest and appearances of conflicts of interest. At this time, I will ask whether any member of this Board is aware of any conflict of interest or any appearance of a conflict of interest with respect to any matters coming before the Board during this meeting. Should you become aware of a conflict of interest or the appearance of a conflict of interest later in this meeting, please notify me immediately.

1. Call to Order  
   Karen A. Popp, Chair
2. Introductions and Announcements  
   Karen A. Popp, Chair

3. Introduction and Swearing In of New Trustee  
   Karen A. Popp, Chair  
   Sharon Allred Decker, Trustee  
   Anna Clark, Administrative Support Associate, Legal Affairs

4. Approval of the October 2, 2015, Meeting Minutes  
   Karen A. Popp, Chair  
   *Documents:* October 2, 2015, Board of Trustees Meeting Minutes

5. UNC Board of Governors’ Liaison Remarks  
   Philip Byers, UNC Board of Governors’ Liaison to UNC Charlotte

6. Remarks by the Chancellor  
   Philip L. Dubois, Chancellor

7. Connect NC Bond Act of 2015  
   Philip L. Dubois, Chancellor  
   Betty Doster, Special Assistant to the Chancellor for Constituent Relations  
   *Document:* 2016 Bond Campaign

8. Department of Defense Resolution  
   Philip L. Dubois, Chancellor  
   *Document:* Resolution for Exclusion of Certain Directors or Officers

9. School-Based Tuition Adjustment  
   Joan Lorden, Provost and Vice Chancellor for Academic Affairs  
   *Document:* 2016-17 Graduate Tuition Requests

10. Open Meetings, the Public Records Act, and Document Retention Requirements  
    Dave Broome, Vice Chancellor and General Counsel  
    *Document:* NC Public Records Act and Open Meeting Law - Orientation

11. Report of the Executive Committee  
    Karen A. Popp, Chair

12. Report of the Academic and Student Affairs Committee  
    Laura Schulte, Chair

13. Data Science Initiative  
    Bob Wilhelm, Vice Chancellor for Research and Economic Development  
    Mirsad Hadzikadic, Executive Director, Data Science Initiative  
    *Document:* Data Science Initiative at UNC Charlotte
14. Report of the Athletics Committee  
   Dhiaa Jamil, Chair

15. Report of the Facilities and Physical Properties Committee  
   Theresa J. Drew, Chair  
   *Documents:* Request for Authorization of Capital Projects:  
                             Belk Plaza Revitalization  
                             Colvard Renovation  
                             Sycamore Hall Renovation  
                             Irwin Belk Track Resurfacing  
                             Hickory/Cedar Swing Space Renovation

16. Facility Funding, Design, and Construction Process (Orientation)  
   Beth Hardin, Vice Chancellor for Business Affairs  
   Phil Jones, Associate Vice Chancellor for Facilities Management  
   *Document:* Facility Funding, Design, and Construction Process

17. Report of the Audit, Compliance, and Enterprise Risk Management Committee  
   Catherine P. Bessant, Chair

   Mitchell J. Daratony, Student Body President and Trustee

19. Report of the University Faculty Council  
   Jeff Leak, Faculty President  
   *Document:* Faculty Council Report

20. Report of the University Staff Council  
   Jim Kay, Chair  
   *Document:* Staff Council Report

21. Other Business  
   Karen A. Popp, Chair

22. Motion to Convene in Closed Session  
   Karen A. Popp, Chair  
   a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;  
   b. to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee pursuant to N.C.G.S. §143-318.11(a)(6);
c. to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11(a)(3);
d. to prevent the premature disclosure of an award pursuant to N.C.G.S. §143.318.11(a)(2).

Closed Session

23. Report of the Audit, Compliance, and Enterprise Risk Management Committee
   Catherine P. Bessant, Chair

24. Athletics Workers’ Compensation Claim
   Dave Broome, Vice Chancellor and General Counsel

25. Report of the Executive Committee
   Karen A. Popp, Chair

26. Chancellor’s Compensation
   Karen A. Popp, Chair

27. Honorary Degree Recipient Selection
   Philip L. Dubois, Chancellor
   Documents: Honorary Degree Recommendation Letter
                Fannie Flono Nomination Letter
                Emily Zimmern Nomination Letter
                Policy, Criteria, and Procedures for the Awarding of Honorary Degrees
                Honorary Degrees Conferred
                Honorary Degree Pool

28. Distinguished Service Award Selection Update
   Philip L. Dubois, Chancellor

29. Motion to Resume in Open Session
   Karen A. Popp, Chair

Open Session

30. Adjourn
    Karen A. Popp, Chair