Audit, Compliance, and Enterprise Risk Management Committee Meeting
Wednesday, December 11, 2019, 8:00–9:30 a.m.
Popp Martin Student Union, 3rd Floor, Room I

Agenda

The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order
   Theresa Drew, Chair
   8:00 a.m.

2. Approval of the October 2, 2019, Meeting Minutes
   Theresa Drew, Chair
   Documents: October 2, 2019, Open Session Meeting Minutes
                October 2, 2019, Closed Session Meeting Minutes
   8:01 a.m.

3. Internal Audit Update
   Jennifer Walker, Chief Audit Officer
   Documents: FY 2020 Internal Audit Plan Update
               Summary of Internal Audit Reports Issued
               Internal Audit Findings Exception Report
               Internal Audit Charter
   8:02 a.m.

4. Compliance Update
   Susan Burgess, Chief Compliance Officer
   Document: University Ethics and Compliance – Programs and Activities
             (October 2019 - December 2019)
   8:22 a.m.

5. Enterprise Risk Management (ERM) Update
   Steven Dunham, Chief Risk Officer
   Document: Enterprise Risk Management Update
   8:32 a.m.

6. Division of Institutional Integrity Update
   Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel
   Document: Division of Institutional Integrity Organizational Chart
   8:52 a.m.
7. State Auditor Report
   Beth Hardin, Vice Chancellor for Business Affairs
   Document: State Auditor Report

8. Motion to Convene in Closed Session
   Theresa Drew, Chair
   a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is
      privileged or confidential pursuant to the laws of this State or of the United States, or not
      considered a public record within the meaning of Chapter 132 of the General Statutes,
      such privilege or confidentiality arising under the Family Educational Rights and Privacy
      Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7; and
   b. to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11 (a)(3).

Closed Session

   Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel

10. Title IX Update
    Michelle Reinken, Title IX Coordinator

11. State Hotline Investigation
    Jennifer Walker, Chief Audit Officer

12. Motion to Resume in Open Session
    Theresa Drew, Chair

Open Session

13. Other Business
    Theresa Drew, Chair

14. Adjourn
    Theresa Drew, Chair

Members
Theresa Drew, Chair
Susan DeVore, Vice Chair
Dennis Bunker
Christine Katziff
Mike Wilson, ex officio
The University of North Carolina at Charlotte
Board of Trustees

Executive Committee Meeting
Wednesday, December 11, 2019, 9:30–10:30 a.m.
Popp Martin Student Union, Third Floor, Room I

Agenda

The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order
   Michael L. Wilson, Chair
   9:30 a.m.

2. Approval of the October 2, 2019, Meeting Minutes
   Michael L. Wilson, Chair
   Documents: October 2, 2019, Meeting Minutes—Open Session
   October 2, 2019, Meeting Minutes—Closed Session
   9:31 a.m.

3. Department of Defense Resolution
   Philip L. Dubois, Chancellor
   Document: Resolution for Exclusion of Certain Directors or Officers of the University of North Carolina at Charlotte
   9:32 a.m.

4. Plan to Establish Distinguished Professorship
   Joan Lorden, Provost and Vice Chancellor for Academic Affairs
   Document: Letter to Chancellor Dubois
   Plan to Establish the Bank of America Distinguished Professorship in Security Analytics
   9:35 a.m.

5. Bissell House Repairs
   Philip L. Dubois, Chancellor
   9:45 a.m.

6. Board Self-Assessment
   Michael L. Wilson, Chair
   9:50 a.m.

7. Motion to Convene in Closed Session
   Michael L. Wilson, Chair
   9:55 a.m.
a. Pursuant to N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee; and
b. Pursuant to N.C.G.S. §143.318.11(a)(2), to prevent the premature disclosure of an award.

**Closed Session**

8. Faculty Tenure Request
   Joan Lorden, Provost and Vice Chancellor for Academic Affairs
   Document: Personnel Action Requiring Approval of the Board of Trustees

9. Quarterly Report of Faculty Salary Adjustments
   Joan Lorden, Provost and Vice Chancellor for Academic Affairs
   Document: Quarterly Report of Faculty Salary Adjustments

10. Quarterly Report of EHRA Non-Faculty Actions and Salary Adjustments
    Beth Hardin, Vice Chancellor for Business Affairs
    Documents: Quarterly Report of EHRA Non-Faculty Actions
                Quarterly Report of EHRA Non-Faculty Salary Adjustments

11. Update of Distinguished Service Award Selection
    Philip L. Dubois, Chancellor

12. Honorary Degree Nomination
    Philip L. Dubois, Chancellor
    Documents: November 4, 2019, Letter to Chancellor Dubois
                Nomination 1
                University Policy 209, Honorary Degrees
                Honorary Degrees Conferred
                Honorary Degree Pool

13. Motion to Resume in Open Session
    Michael L. Wilson, Chair

**Open Session**

14. Action on Faculty Tenure Request
    Michael L. Wilson, Chair

15. Other Business
    Michael L. Wilson, Chair

16. Adjourn
    Michael L. Wilson, Chair
Committee Members
Michael L. Wilson, Chair
Dennis Bunker
Chandler Crean
Susan D. DeVore
Theresa J. Drew
Fred W. Klein
Mary Ann Rouse
Teross W. Young
The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Approval of the October 2, 2019, Meeting Minutes
   Dennis N. Bunker III, Chair
   Document: October 2, 2019, Open Session Meeting Minutes

2. APLU Degree Completion Award
   Joan Lorden, Provost and Vice Chancellor for Academic Affairs
   John Smail, Associate Provost for Undergraduate Education and Dean of University College
   Document: APLU Degree Completion Award

3. School of Data Science Proposal
   Joan Lorden, Provost and Vice Chancellor for Academic Affairs
   Document: School of Data Science Memo and Proposal to Chancellor

4. Research Spotlight: Experience of a Nanoscale Science PhD Student, from Bench Top to Commercial Applications
   Rick Tankersley, Vice Chancellor for Research and Economic Development
   Margaret Kocherga, Nanoscale Science PhD Student
   Document: Experience of a Nanoscale Science PhD Student

5. Other Business
   Dennis N. Bunker III, Chair

6. Adjourn
   Dennis N. Bunker III, Chair
Members
Dennis N. Bunker III, Chair
Theresa J. Drew
Christine P. Katziff
David W. Mildenberg
Michael L. Wilson, ex officio
The University of North Carolina at Charlotte
Board of Trustees

Athletics Committee Meeting
Wednesday, December 11, 2019, 10:30-11:30 a.m.

Agenda

The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order
   Teross W. Young Jr., Chair

2. Approval of October 2, 2019, Meeting Minutes
   Teross W. Young Jr., Chair
   Document: October 2, 2019, Meeting Minutes

3. Addition of Women’s Sport
   Ragean Hill, Executive Associate Athletic Director/SWA
   Document: Sport Recommendation Executive Summary PowerPoint
     Charlotte 49ers Athletics Executive Summary

4. SAAC
   Chris Everett, Director of Student Athlete Development

5. Athletics Department Update
   Ragean Hill, Executive Associate Athletic Director/SWA

6. Other Business
   Teross W. Young Jr., Chair

7. Adjourn
   Teross W. Young Jr., Chair

Members
Teross W. Young Jr., Chair
J. Brett Keeter, Vice Chair
Chandler Crean
Sharon Decker
Mike Wilson, ex officio
The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order
   Fred W. Klein, Chair
   10:30 a.m.

2. Approval of October 2, 2019, Meeting Minutes
   Fred W. Klein, Chair
   Document: October 2, 2019, Meeting Minutes
   10:31 a.m.

3. Request for Authorizations of Capital Projects
   Beth Hardin, Vice Chancellor for Business Affairs
   Jon Varnell, Associate Vice Chancellor for Facilities Management
   Documents: Request for Authorization of Capital Project Modifications to JW Clay Boulevard and Robert Snyder Road
   10:35 a.m.

4. Request for Increase Authorization of Capital Projects
   Beth Hardin, Vice Chancellor for Business Affairs
   Jon Varnell, Associate Vice Chancellor for Facilities Management
   Document: Phase XVI Residence Hall
   10:45 a.m.

5. Capital Improvement Projects Update
   Beth Hardin, Vice Chancellor for Business Affairs
   Jon Varnell, Associate Vice Chancellor for Facilities Management
   Document: Capital Improvement Projects
   11:00 a.m.

6. Other Business
   Fred W. Klein, Chair
   11:25 a.m.

7. Adjourn
   Fred W. Klein, Chair
   11:30 a.m.
Members
Fred W. Klein, Chair
Mary Ann Rouse, Vice Chair
Susan DeVore
Carlos Sanchez
Michael Wilson, ex officio
The University of North Carolina at Charlotte  
Board of Trustees  

Wednesday, December 11, 2019  
Popp Martin Student Union, Room ABDE  

Schedule  

8:00–9:30 a.m.  
Audit, Compliance, and Enterprise Risk Management Committee Meeting  
Popp Martin Student Union, Third Floor, Room I  

9:30–10:30 a.m.  
Executive Committee Meeting  
Popp Martin Student Union, Third Floor, Room I  

10:30–11:30 a.m.  
Academic and Student Affairs Committee Meeting  
Popp Martin Student Union, Third Floor, Room I  

10:30–11:30 a.m.  
Athletics Committee Meeting  
Popp Martin Student Union, Third Floor, Room C  

10:30–11:30 a.m.  
Facilities and Physical Properties Committee Meeting  
Popp Martin Student Union, Third Floor, Room F  

11:30 a.m.–12:00 p.m.  
Lunch  
Popp Martin Student Union, Third Floor, Room H  

12:00–3:00 p.m.  
Board Will Convene in the Committee of the Whole  
Popp Martin Student Union, Third Floor, Room ABDE  

Board of Trustees Agenda  

The Board Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the Board of Trustees of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.  

1. Call to Order  
   Michael L. Wilson, Chair  
   12:00 p.m.  

2. Introductions and Announcements  
   Michael L. Wilson, Chair  
   12:01 p.m.
3. Remarks from Board of Governors Liaison
   David Powers, Member of the Board of Governors
   12:05 p.m.

4. Approval of October 2, 2019, Meeting Minutes
   Michael L. Wilson, Chair
   Documents: October 2, 2019, Meeting Minutes—Open Session
             October 2, 2019, Meeting Minutes—Closed Session
   12:10 p.m.

5. Remarks by the Chancellor
   Philip L. Dubois, Chancellor
   12:12 p.m.

6. 2020-2021 Tuition and Fee Adjustments
   Philip L. Dubois, Chancellor
   Documents: FY 2020-2021 Undergraduate Tuition and Fees
   12:25 p.m.

7. 49er Democracy Experience
   Betty Doster, Special Assistant for Constituent Relations
   Document: 49er Democracy Experience
   12:35 p.m.

8. Legislative Update
   Betty Doster, Special Assistant for Constituent Relations
   12:45 p.m.

9. Cybersecurity Presentation
   Michael S. Carlin, Vice Chancellor for Information Technology and Chief Information Officer
   Document: UNC Charlotte Information Security
   12:50 p.m.

10. Clery Act Requirements and Campus Safety (Education) and Clery Report
    Sarah Edwards, Associate General Counsel
    Jeff Baker, Chief of Police
    Documents: The Clery Act: Reporting Campus Crimes and Educating the Campus Community
                2019 Annual Security Report
    1:05 p.m.

11. Report of the University Faculty Council
    Joel Avrin, Faculty President
    Document: Faculty Council Report
    1:20 p.m.

12. Report of the University Staff Council
    Celeste Corpening, Staff Council Chair
    Document: Staff Council Report
    1:25 p.m.

    Chandler A. Crean, Student Body President and Trustee
    Document: Student Government Association Update
    1:30 p.m.

14. Report of the Executive Committee
    Michael L. Wilson, Chair
    1:35 p.m.
15. Report of the Academic and Student Affairs Committee  
   Dennis N. Bunker, Chair  
   1:42 p.m.

16. Report of the Athletics Committee  
   Teross W. Young, Chair  
   1:49 p.m.

17. Report of the Facilities and Physical Properties Committee  
   Fred W. Klein, Chair  
   Documents: Request for Authorization of Capital Project Modifications to JW Clay and Snyder Road  
   Request for Increase in Authorization – Residence Hall Phase XVI  
   Capital Improvement Projects Update  
   1:56 p.m.

18. Report of the Audit, Compliance, and Enterprise Risk Management Committee  
   Theresa J. Drew, Chair  
   2:03 p.m.

19. Chancellor Search Process Update  
   Michael L. Wilson, Chair  
   2:10 p.m.

20. Other Business  
   Michael L. Wilson, Chair  
   2:15 p.m.

21. Motion to Convene in Closed Session  
   Michael L. Wilson, Chair  
   2:16 p.m.

   a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;
   b. to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11(a)(3);
   c. pursuant to N.C.G.S §143-318.11 (a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, or prospective public officer or employee; and
   d. to prevent the premature disclosure of an award pursuant to N.C.G.S. §143.318.11(a)(2).

Closed Session

22. Report of the Executive Committee  
   Michael L. Wilson, Chair  
   2:17 p.m.

23. Report of the Audit, Compliance, and Enterprise Risk Management Committee  
   Theresa J. Drew, Chair  
   2:22 p.m.
24. Honorary Degree Recipient Selection
   Philip L. Dubois, Chancellor
   Documents: November 4, 2019, Letter to Chancellor Dubois
   Nomination 1
   University Policy 209, Honorary Degrees
   Honorary Degrees Conferred
   Honorary Degree Pool

25. Conferral of Emeritus Status
   Michael L. Wilson, Chair
   Document: University Policy 102.5 – Emeritus Faculty and Senior Academic and
   Administrative Officers

26. Motion to Resume in Open Session
   Michael L. Wilson, Chair

   Open Session

27. Adjourn
   Michael L. Wilson, Chair

2:27 p.m.
2:30 p.m.
2:35 p.m.
2:36 p.m.