The University of North Carolina at Charlotte
Board of Trustees

Audit, Compliance, and Enterprise Risk Management Committee Meeting
Tuesday, November 28, 2017, 8:00–9:30 a.m.
Harris Alumni Center, 2nd Floor Boardroom

Agenda

The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order
   Laura A. Schulte, Chair

2. Approval of the October 11, 2017, Meeting Minutes
   Laura A. Schulte, Chair
   Documents: October 11, 2017, Open Session Meeting Minutes
               October 11, 2017, Closed Session Meeting Minutes

3. EHRA and SHRA Employee Classifications (Education)
   Beth Hardin, Vice Chancellor for Business Affairs
   Gary Stinnett, Associate Vice Chancellor for Human Relations
   Document: SHRA and EHRA: Employees Subject to and Employees Exempt from the State Human Resources Act

4. Internal Audit Update
   Jennifer Walker, Chief Audit Officer
   Documents: FY 2018 Audit Plan Update
               Summary of Internal Audit Reports Issued Since October 2017
               Active Findings Report

5. Environmental Health and Safety Office Remediation Update
   Jennifer Walker, Chief Audit Officer
   Darius Griffin, Director of Environmental Health and Safety
   Phil Jones, Associate Vice Chancellor for Facilities
6. Compliance Update  8:35 a.m.
   Susan Burgess, Chief Compliance Officer
   Documents: Statement of Economic Interest Biennial Evaluation – Calendar Year 2016 –
               Chancellor and Vice Chancellors
               University Ethics and Compliance Programs and Activities

7. Changes to Title IX (Education)  8:45 a.m.
   Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel
   Sarah Edwards, Associate General Counsel
   Document: Recent Changes to Title IX Guidance

8. Motion to Convene in Closed Session  9:05 a.m.
   Laura A. Schulte, Chair
   a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is
      privileged or confidential pursuant to the laws of this State or of the United States, or not
      considered a public record within the meaning of Chapter 132 of the General Statutes,
      such privilege or confidentiality arising under the Family Educational Rights and Privacy
      Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7; and
     b. to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11 (a)(3).

Closed Session

9. Office of State Auditor Report on IT General Controls  9:05 a.m.
   Jennifer Walker, Director of Internal Audit

    Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel

11. Title IX Update  9:20 a.m.
    Alex Tompkins, Title IX Case Manager

12. Motion to Resume in Open Session  9:25 a.m.
    Laura A. Schulte, Chair

Open Session

13. Other Business  9:25 a.m.
    Laura A. Schulte, Chair

14. Adjourn  9:30 a.m.
    Laura A. Schulte, Chair

Members
Laura A. Schulte, Chair   Michael L. Wilson   Joe L. Price, ex officio
Mary Ann Rouse            Teross W. Young Jr.