Audit, Compliance, and Enterprise Risk Management Committee Meeting
Friday, October 2, 2015, 8:00–9:30 a.m.
UNC Charlotte Foundation Building, Room 212

Agenda

The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. At this time, I will ask whether any member of this committee is aware of any conflict of interest or any appearance of a conflict of interest with respect to any matters coming before the committee during this meeting. Should you become aware of a conflict of interest or the appearance of a conflict of interest later in this meeting, please notify me immediately.

1. Approval of the April 23, 2015, Meeting Minutes 8:00 a.m.
   Catherine P. Bessant, Chair
   Document:  April 23, 2015, Meeting Minutes

2. Internal Audit Update 8:00-8:15 a.m.
   Tom York, Director of Internal Audit
   Documents:  Annual Audit Plan FY2016 Update
               Summary of Internal Audit Reports Issued Since Last Meeting
               Construction Audits Update
               Active Findings Report
               Internal Audit Charter Update
               Internal Audit Department Strategic Plan 2016-2021
               Annual Certification Tasks for the Board of Governors
               Audit Department Balanced Scorecard FY 2016
               “On the 2015 Higher Education Audit Committee Agenda,” KPMG, 2015

3. Compliance Update 8:30-8:40 a.m.
   Sue Burgess, Director of Compliance

   Jeff Baker, Chief of Police
5. Special Committee Recommendations—Status Update
   Krista Newkirk, Chief of Staff
   
   Document: Special Committee Recommendations—Status Update

6. Motion to Convene in Closed Session
   Catherine P. Bessant, Chair
   a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;
   b. to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11 (a)(3); and
   c. to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, or prospective public officer or employee pursuant to N.C.G.S. §143-318.11 (a)(6).

   Closed Session

7. Report on Litigation and Risk Management Issues
   David Broome, Vice Chancellor and General Counsel

8. Title IX Reports
   Dawn Floyd, Title IX Coordinator

9. Motion to Resume in Public Session
   Catherine P. Bessant, Chair

   Public Session

10. Adjourn
    Catherine P. Bessant, Chair

Members
Catherine P. Bessant, Chair
Mary Ann Rouse
Laura A. Schulte
Michael L. Wilson
Karen A. Popp, Ex Officio
Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes)*, I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. At this time, I will ask whether any member of this committee is aware of any conflict of interest or any appearance of a conflict of interest with respect to any matters coming before the committee during this meeting. Should you become aware of a conflict of interest or the appearance of a conflict of interest later in this meeting, please notify me immediately.

1. Approval of the April 23, 2015, Meeting Minutes
   Karen A. Popp, Chair
   *Document:* April 23, 2015, Meeting Minutes

2. Coaching Contracts (Orientation)
   Dave Broome, Vice Chancellor for Legal Affairs & General Counsel
   *Document:* Head Coaches’ Contracts

3. Belk Tower Condition Analysis
   Philip L. Dubois, Chancellor

4. Motion to Convene in Closed Session
   Karen A. Popp, Chair
   a. To consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee pursuant to N.C.G.S. §143-318.11(a)(6);
   b. to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11(a)(3); and
   c. to prevent the premature disclosure of an honorary degree, scholarship, prize, or similar award pursuant to N.C.G.S. §143.318.11(a)(2).
Closed Session

5. Quarterly Report of Faculty Actions
   Joan Lorden, Provost and Vice Chancellor for Academic Affairs
   *Document:* Quarterly Report of Faculty Actions

6. Quarterly Report of EPA Non-Faculty Actions
   Beth Hardin, Vice Chancellor for Business Affairs
   *Document:* Quarterly Report of EPA Non-Faculty Actions

7. Naming Opportunities
   Philip L. Dubois, Chancellor

8. Honorary Degree Nominations
   Philip L. Dubois, Chancellor
   *Documents:* Policy, Criteria, and Procedures for the Awarding of Honorary Degrees
   Honorary Degrees Confirmed
   Honorary Degree Pool

9. Distinguished Service Award Nominations
   Philip L. Dubois, Chancellor
   *Documents:* Policy, Criteria and Procedure for the Selection of the Distinguished Service Award
   Recipients of the Distinguished Service Award

10. Motion to Resume in Open Session
    Karen A. Popp, Chair

Open Session

11. Other Business
    Karen A. Popp, Chair

12. Adjourn
    Karen A. Popp, Chair

Members
Karen A. Popp, Chair
Catherine P. Bessant
Theresa J. Drew
Dhiaa Jamil
Joe L. Price
Laura A. Schulte
Michael L. Wilson
The University of North Carolina at Charlotte
Board of Trustees

Academic and Student Affairs Committee Meeting
Friday, October 2, 2015, 10:30 a.m.–11:30 a.m.
UNC Charlotte Foundation Building, Room 112

Agenda

The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. At this time, I will ask whether any member of this committee is aware of any conflict of interest or any appearance of a conflict of interest with respect to any matters coming before the committee during this meeting. Should you become aware of a conflict of interest or the appearance of a conflict of interest later in this meeting, please notify me immediately.

1. Approval of the April 23, 2015, Meeting Minutes
   Laura A. Schulte, Chair
   Document: April 23, 2015, Meeting Minutes
             September 3, 2015, Meeting Minutes

2. Predictive Analytics on Student Success
   Joan Lorden, Provost and Vice Chancellor for Academic Affairs
   Document: The Education Advisory Board Student Success Collaborative at UNC Charlotte

3. Student Orientation Programs (Orientation)
   Christine Reed Davis, Dean of Students
   Dennis Wiese, Senior Associate Dean and Director of New Student and Family Services
   Document: SOAR: Student Orientation, Advising, and Registration

4. Adjourn
   Laura A. Schulte, Chair

Members
Laura A. Schulte, Chair
Susan D. DeVore
Mary Ann Rouse
Michael L. Wilson
Karen A. Popp, Ex Officio
The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. At this time, I will ask whether any member of this committee is aware of any conflict of interest or any appearance of a conflict of interest with respect to any matters coming before the committee during this meeting. Should you become aware of a conflict of interest or the appearance of a conflict of interest later in this meeting, please notify me immediately.*

1. Approval of the April 23, 2015, Meeting Minutes
   Dhiaa Jamil, Chair
   *Document:* April 23, 2015, Athletics Committee Meeting Minutes

2. Intercollegiate Athletics Report
   Judy Rose, Director of Athletics
   Lisa Hibbs, Director of Athletic Academic Center
   Darin Spease, Senior Associate Athletic Director for Business Affairs
   *Documents:* UNCPolicy 700.6.1.1, Academic Integrity Regulations
   University of North Carolina Policy 1100.1, Intercollegiate Athletics
   UNCPolicy 1100.1.1, Financial and Other Reporting for Intercollegiate Athletes
   UNCP Intercollegiate Athletics Survey Responses: 2014–15
   Academic Success: Graduation Success Rate Report
   Campus Clustering Review Process
   Institutional Admissions Policies and Exceptions
   Charlotte Athletics Organizational Chart
   Effective Practices: Integral Connections Between Academics and Athletics
   Bylaws of the Athletic Foundation of the University of North Carolina at Charlotte
   Final Internal Audit Report, A2014-10, Boosters
   Chancellor’s Athletics Certification Memo
a. Review of Concentration of Classes, Selection of Academic Majors, and
   Five-year Overview of Student Athlete Admissions
   Lisa Hibbs, Director of the Athletic Academic Center
   Documents: Summer II 2014 Concentration of Classes
               Fall 2014 Concentration of Classes
               Spring 2015 Concentration of Classes
               Spring 2015 Majors by College
               Spring 2015 Minors
               Top 10 Majors for Student-Athletes, Spring 2015
               Spring 2015 Majors by Percentage
               Five-year Overview: Student Athlete Admissions

b. Athletics Financial Indicators Report
   Darin Spease, Senior Associate Athletic Director for Business Affairs
   Documents: Student Fee Revenue as a Share of Total Operating Revenue for Athletics
               NCAA Financial Dashboard, Conference USA

c. Academic Progress Rate Report
   Scott Byrd, Assistant Athletic Director for Compliance

3. Internal Audits
   Judy Rose, Director of Athletics
   Tom York, Director of Internal Audit
   Documents: Final Report, A2015-10B, NCAA Compliance—Recruiting
               Final Report, A2016-1, Transition to FBS Football

4. Athletics Committee Annual Report Schedule
   Dhiaa Jamil, Chair
   Document: Athletics Committee Calendar

5. Other Business
   Dhiaa Jamil, Chair

Members
   Dhiaa Jamil, Chair
   Catherine P. Bessant
   Mitchell J. Daratony
   David L. Hauser
   Karen A. Popp, Ex Officio
UNC University at Charlotte
Board of Trustees

Facilities and Physical Properties Committee Meeting
Friday, October 2, 2015
UNC Charlotte Foundation Building, Room 212

Agenda

The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. At this time, I will ask whether any member of this committee is aware of any conflict of interest or any appearance of a conflict of interest with respect to any matters coming before the committee during this meeting. Should you become aware of a conflict of interest or the appearance of a conflict of interest later in this meeting, please notify me immediately.

1. Approval of Meeting Minutes 10:30-10:32 a.m.
   Theresa Drew, Chair
   Document: April 23, 2015, Meeting Minutes

2. Request for Authorization of Capital Project and Site Approval 10:32-10:40 a.m.
   Philip L. Dubois, Chancellor
   Beth Hardin, Vice Chancellor for Business Affairs
   Document: Request for Authorization of Capital Project—Admissions and Visitors Center

3. Change in Site Plans for Health and Wellness Center 10:40-10:50 a.m.
   Philip L. Dubois, Chancellor
   Document: Change in Site Plans for Health and Wellness Center

4. Belk Tower Condition Analysis 10:50-10:55 a.m.
   Philip L. Dubois, Chancellor
   Chris Gilbert, Director of Facilities Planning
   Document: Belk Tower

5. Request for Increase in Capital Project Authorization 10:55-11:00 a.m.
   Beth Hardin, Vice Chancellor for Business Affairs
   Document: Request for Increase in Capital Project Authorization—Residence Dining Hall (RDH) Renovation
6. Requests for Approval of Capital Project Designer Selections 11:00-11:05 a.m.
Beth Hardin, Vice Chancellor for Business Affairs
*Documents:* Request for Approval of Capital Project Designer Selection—
Scott Hall Renovations
Request for Approval of Capital Project Designer Selection—
Health and Wellness Center

7. Request for Ratification of Capital Project Designer Selection 11:05-11:10 a.m.
Beth Hardin, Vice Chancellor for Business Affairs
*Document:* Request for Ratification of Capital Project Designer Selection—
Campus Infrastructure Development Phase II: East Village Utilities

8. Request for Approval of Construction Manager at Risk 11:10-11:15 a.m.
Beth Hardin, Vice Chancellor for Business Affairs
*Document:* Request for Approval of Construction Manager at Risk Selection—
Scott Hall Renovation

Beth Hardin, Vice Chancellor for Business Affairs
Richard LaLiberte, Real Estate Manager
*Document:* Status of Cell Service Initiative

10. Capital Improvement Projects Update 11:25-11:30 a.m.
Beth Hardin, Vice Chancellor for Business Affairs
*Document:* Capital Improvement Projects Update

**Members**
Theresa Drew, Chair
Sharon Decker
Fred Klein
Joe Price
Karen A. Popp, *Ex Officio*
Schedule

8:00-9:30 a.m. Audit, Compliance, and Enterprise Risk Management Committee Meeting
Founding Building, Room 212

9:30-10:30 a.m. Executive Committee Meeting
Foundation Building, Room 212

10:30-11:30 a.m. Academic and Student Affairs Committee Meeting
Foundation Building, Room 112

10:30-11:30 a.m. Athletics Committee Meeting
Foundation Building, Room 211

10:30-11:30 a.m. Facilities and Physical Properties Committee Meeting
Foundation Building, Room 212

12:00-3:30 p.m. Board Will Convene in the Committee of the Whole
Foundation Building, Room 112

Board of Trustees Agenda

The Board Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the Board of their duty to avoid conflicts of interest and appearances of conflicts of interest. At this time, I will ask whether any member of this Board is aware of any conflict of interest or any appearance of a conflict of interest with respect to any matters coming before the Board during this meeting. Should you become aware of a conflict of interest or the appearance of a conflict of interest later in this meeting, please notify me immediately.

1. Call to Order
   Karen A. Popp, Chair
2. Introductions and Announcements
   Karen A. Popp, Chair

3. Introduction and Swearing In of New Trustees
   Karen A. Popp, Chair
   Sharon Allred Decker, Trustee
   Susan A. DeVore, Trustee
   Fred W. Klein, Trustee
   Melanie White, Executive Assistant to the General Counsel

4. Approval of the April 23, 2015, July 1, 2015, and September 3, 2015, Meeting Minutes
   Karen A. Popp, Chair
   Documents: April 23, 2015, Board of Trustees Meeting Minutes
               July 1, 2015, Board of Trustees Meeting Minutes
               September 3, 2015, Board of Trustees Retreat Minutes

5. Remarks by Board of Governors Member
   Philip Byers, Board of Governors Member and UNC Charlotte Liaison

6. Remarks by the Chancellor
   Philip L. Dubois, Chancellor

7. Campaign Update
   Niles Sorensen, Vice Chancellor for Advancement
   Document: Campaign Dashboard

8. Legislative Update
   Betty Doster, Special Assistant to the Chancellor for Constituent Relations
   Document: 2015 Legislative Final Report

   Joan Lorden, Provost and Vice Chancellor for Academic Affairs
   Owen Furuseth, Associate Provost for Metropolitan Studies and Extended Academic Programs

10. Academic Plan
    Joan Lorden, Provost and Vice Chancellor for Academic Affairs
    Document: Academic Plan

11. Report of the Executive Committee
    Karen A. Popp, Chair

12. Report of the Academic and Student Affairs Committee
    Laura Schulte, Chair
13. Report of the Athletics Committee
Dhiaa Jamil, Chair

*Document:* UNC Intercollegiate Athletics Report, 2014-15
- UNC Policy 700.6.1.1, Academic Integrity Regulations
- University of North Carolina Policy 1100.1, Intercollegiate Athletics
- UNC Policy 1100.1.1, Financial and Other Reporting for Intercollegiate Athletes
- UNC Intercollegiate Athletics Survey Responses: 2014–15
- Academic Success: Graduation Success Rate Report
- Campus Clustering Review Process
- Institutional Admissions Policies and Exceptions
- Charlotte Athletics Organizational Chart
- Effective Practices: Integral Connections Between Academics and Athletics
- Bylaws of the Athletic Foundation of the University of North Carolina at Charlotte
- Final Internal Audit Report, A2014-10, Boosters
- Chancellor’s Athletics Certification Memo
- Summer II 2014 Concentration of Classes
- Fall 2014 Concentration of Classes
- Spring 2015 Concentration of Classes
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- Top 10 Majors for Student-Athletes, Spring 2015
- Spring 2015 Majors by Percentage
- Five-year Overview: Student Athlete Admissions
- Student Fee Revenue as a Share of Total Operating Revenue for Athletics
- NCAA Financial Dashboard, Conference USA

Theresa J. Drew, Chair

*Documents:* Request for Authorization of Capital Project—Admissions and Visitors Center
- Request for Increase in Capital Project Authorization—Residence Dining Hall Renovation
- Request for Approval of Capital Project Designer Selection—Scott Hall Renovation
- Request for Approval of Capital Project Designer Selection—Health and Wellness Center
- Request for Approval of Construction Manager at Risk Selection—Scott Hall Renovation

15. Report of the Audit, Compliance, and Enterprise Risk Management Committee
Catherine P. Bessant, Chair
16. Clery Report
   Jeff Baker, Chief of Police

17. Report of the Student Government Association
   Mitchell J. Daratony, Student Body President and Trustee

18. Report of the University Faculty Council
   Jeff Leak, Faculty President
   Document: Faculty Council Report

19. Report of the University Staff Council
   Jim Kay, Chair
   Document: Staff Council Report

20. Facility Funding, Design, and Construction Process (Orientation)
   Beth Hardin, Vice Chancellor for Business Affairs
   Chris Gilbert, Director of Facilities Planning
   Document: Facility Funding, Design, and Construction Process

21. Other Business
   Karen A. Popp, Chair

22. Motion to Convene in Closed Session
   Karen A. Popp, Chair

   a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;
   b. to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11(a)(3);
   c. to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, or prospective public officer or employee pursuant to N.C.G.S. §143-318.11(a)(6); and
   d. to prevent the premature disclosure of an award pursuant to N.C.G.S. §143.318.11(a)(2).

   Closed Session

23. Report of the Audit, Compliance, and Enterprise Risk Management Committee
   Catherine P. Bessant, Chair

24. Report of the Executive Committee
   Karen A. Popp, Chair
25. Naming Opportunities
    Philip L. Dubois, Chancellor

26. Hazing Issue
    Dave Broome, Vice Chancellor and General Counsel
    Susan Burgess, Director of Compliance
    Jim Cooney, Womble Carlyle Sandridge & Rice, LLP
    Christine Reed Davis, Associate Vice Chancellor for Student Affairs and Dean of Students
    Dawn Floyd, Title IX Coordinator
    Kathleen Hessert, Sports Media Challenge
    Art Jackson, Vice Chancellor for Student Affairs
    Judy Rose, Director of Athletics
    Stephen Ward, Executive Director of University Communications

27. Motion to Resume in Open Session
    Karen A. Popp, Chair
    
    Open Session

28. Adjourn
    Karen A. Popp, Chair