Audit, Compliance, and Enterprise Risk Management Committee Meeting  
Tuesday, October 2, 2019, 8:00–9:30 a.m.  
Popp Martin Student Union, Room, Multipurpose Room I

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order 8:00 a.m.  
   Laura A. Schulte, Chair

2. Approval of Meeting Minutes 8:01 a.m.  
   Laura A. Schulte, Chair  
   Documents: April 18, 2018, Meeting Minutes—Open and Closed Sessions

3. Internal Audit Update 8:01 a.m.  
   Jennifer Walker, Chief Audit Officer  
   Documents: FY 2018 and 2019 Audit Plan Update  
               Summary of Internal Audit Reports Issued  
               Internal Audit Findings Exception Report  
               Internal Audit Charter Update  
               Review Internal Audit Quality Assessment Results  
               Internal Audit Budget Update

4. Environmental Health and Safety Office Remediation Update 8:21 a.m.  
   Beth Hardin, Vice Chancellor for Business Affairs

5. Division of Institutional Integrity Update 8:36 a.m.  
   Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel  
   *Documents:* Organization Chart and Chief Risk Officer Position Description
6. Motion to Convene in Closed Session 8:41 a.m.  
   Laura A. Schulte, Chair  
   
   a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7; and  
   b. to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11(a)(3).  

   **Closed Session**  

7. Internal Audit Personnel Update 8:42 a.m.  
   Report on Litigation and Risk Management Issues  
   Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel  

8. Title IX Update 9:02 a.m.  
   Michelle Reinken, Title IX Coordinator  
   Document: Title IX Compliance Program Snapshot  

9. Motion to Resume in Open Session 9:22 a.m.  
   Laura A. Schulte, Chair  

   **Open Session**  

10. Other Business 9:22 a.m.  
    Laura A. Schulte, Chair  

11. Adjourn 9:30 a.m.  
    Laura A. Schulte, Chair  

**Members**  
Laura A. Schulte, Chair  
Mary Ann Rouse  
Michael L. Wilson  
Teross W. Young Jr.  
Joe L. Price, *ex officio*  

UNC Charlotte Board of Trustees  
Audit, Compliance, and Enterprise Risk Management Committee Agenda  
October 2, 2018  
Page 2 of 2
The University of North Carolina at Charlotte
Board of Trustees

Executive Committee Meeting
Tuesday, October 2, 2018, 9:30–10:30 a.m.
Popp Martin Student Union, Third Floor, Room F

Agenda

The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order
   Joe L. Price, Chair

2. Approval of Meeting Minutes
   Joe L. Price, Chair
   Documents: April 18, 2018, Open and Closed Session Meeting Minutes
               August 23, 2018, Open and Closed Session Meeting Minutes

3. Motion to Convene in Closed Session
   Joe L. Price, Chair
   a. To consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee pursuant to N.C.G.S. §143-318.11(a)(6); and
   b. to prevent the premature disclosure of an award pursuant to N.C.G.S. §143.318.11(a)(2).

   Closed Session

4. Faculty Actions and Salary Adjustments
   Joan Lorden, Provost and Vice Chancellor for Academic Affairs
   Documents: Quarterly Report of Faculty Actions
               Quarterly Report of Salary Adjustments

5. Status of Academic Affairs Searches
   Joan Lorden, Provost and Vice Chancellor for Academic Affairs
6. Quarterly Report of EHRA Non-Faculty Actions and Salary Adjustments
   Beth Hardin, Vice Chancellor for Business Affairs
   Documents: Quarterly Report of EHRA Non-Faculty Actions
               Quarterly Report of EHRA Non-Faculty Salary Adjustments

7. Tier 1 SAAO Salary Adjustment
   Philip L. Dubois, Chancellor
   Document: Pre-Approval for Tier I Senior Administrative Officer

8. Honorary Degree Nominations
   Philip L. Dubois, Chancellor
   Documents: Honorary Degree Pool
               Honorary Degrees Conferred
               Policy, Criteria, and Procedures for the Awarding of Honorary Degrees

9. Distinguished Service Award Nominations
   Philip L. Dubois, Chancellor
   Documents: Recipients of the Distinguished Service Award
               Policy, Criteria, and Procedure for the Selection of the Distinguished Service Award

10. Title IX Update
    Philip L. Dubois, Chancellor

11. Motion to Resume in Open Session
    Joe L. Price, Chair

    **Open Session**

12. Approval of Salary Adjustment

13. Other Business
    Joe L. Price, Chair

14. Adjourn
    Joe L. Price, Chair

**Members**
Joe L. Price, Chair    Mary Ann Rouse
Susan D. DeVore       Laura A. Schulte
Theresa J. Drew       Michael L. Wilson
Dhiaa Jamil
Agenda

The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order
   Michael L. Wilson, Chair

2. Approval of Meeting Minutes
   Michael L. Wilson, Chair
   Document: April 18, 2018, Open Session Minutes

3. Research Spotlight: North Carolina Consortium for Self-Aware Machining and Metrology
   Presenter: Rick Tankersley, Interim Vice Chancellor for Research and Economic Development
   Attending: Tony Schmitz, Professor of Mechanical Engineering and Engineering Science and Assistant Director, EPIC
   Document: UNC ROI—North Carolina Consortium for Self-Aware Machining and Metrology

4. IT Restructuring Update
   Mike Carlin, Vice Chancellor for Institutional Technology

5. Diversity Discussion
   Kevin Bailey, Vice Chancellor for Student Affairs

6. Title IX Appeals Training
   Presenter: Christine Reed Davis, Dean of Students and Acting Director of Student Conduct
              Sarah Edwards, Associate General Counsel
              Michelle Reinken, Title IX Coordinator
   Documents: An Appellate Officer Primer: Student Conduct and Title IX
               Policy on Minimum Substantive and Procedural Standards for Student Disciplinary Proceedings (UNC Policy Manual 700.4.1)

7. Other Business
   Michael L. Wilson, Chair
8. Adjourn
   Michael L. Wilson, Chair

Members
Michael L. Wilson, Chair
Sharon A. Decker
J. Brett Keeter
Mary Ann Rouse
Joe L. Price, ex officio
The University of North Carolina at Charlotte
Board of Trustees

Athletics Committee Meeting
Tuesday, October 2, 2018, 10:30–11:30 a.m.
Popp Martin Student Union, Third Floor, Room C

Agenda

The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order
   Susan D. DeVore, Chair

2. Approval of Meeting Minutes
   Susan D. DeVore, Chair
   Document: April 18, 2018, Meeting Minutes

3. Introduction of New Employees
   Mike Hill, Director of Athletics

4. Review of Course Clustering, Majors and Minors, Student-Athlete Admissions, and Academic Highlights
   Lisa Hibbs, Director of Athletic Academic Center
   Documents: 2016-2017 Course Clustering
               Review of Majors and Minors
               Five-Year Overview: Student Athlete Admissions
               2017-2018 Athletic Academic Center Highlights

5. Academic Progress Rate Report
   Scott Byrd, Associate Athletic Director for Compliance and Championships

6. Athletics Financial Indicators Report
   Darin Spease, Deputy Athletic Director
   Document: Student Fee Revenue as a Share of Total Operating Revenue for Athletics
7. Athletics Department Update  
   Mike Hill, Director of Athletics

8. Other Business  
   Susan D. DeVore, Chair

9. Adjourn  
   Susan D. DeVore, Chair

Members
Susan D. DeVore, Chair  
Niayai L. Lavien  
Laura A. Schulte  
Teross W. Young, Jr.  
Joe L. Price, ex officio
Facilities and Physical Properties Committee Meeting  
Tuesday, October 2, 2018, 10:30 a.m.–11:30 a.m.  
Popp Martin Student Union, Third Floor, Multipurpose Room F

Agenda

The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order  
Theresa J. Drew, Chair  
10:30 a.m.

2. Approval of Meeting Minutes  
Theresa J. Drew, Chair  
Document: April 18, 2018, Open Session Minutes  
10:31 a.m.

3. Request for Ratification of Capital Project Designer Selection  
Beth Hardin, Vice Chancellor for Business Affairs  
Kathyrn Horne, Interim Associate Vice Chancellor for Facilities Management  
Document: Intercollegiate Swim Upfit  
10:35 a.m.

4. Capital Improvement Projects Update  
Beth Hardin, Vice Chancellor for Business Affairs  
Kathyrn Horne, Interim Associate Vice Chancellor Facilities Management  
Document: Capital Improvement Projects  
10:45 a.m.

5. Other Business  
Theresa J. Drew, Chair  
11:15 a.m.

6. Adjourn  
Theresa J. Drew, Chair  
11:30 a.m.

Members  
Theresa J. Drew, Chair  
Fred W. Klein Jr.  
Dennis N. Bunker III  
Joe L. Price, ex officio  
Dhiaa Jamil
UNC Charlotte Board of Trustees

Tuesday, October 2, 2018
Popp Martin Student Union

Schedule

8:00–9:30 a.m. Audit, Compliance, and Enterprise Risk Management Committee Meeting
               Popp Martin Student Union, Third Floor, Room I

9:30–10:30 a.m. Executive Committee Meeting
                 Popp Martin Student Union, Third Floor, Room I

10:30–11:30 a.m. Academic and Student Affairs Committee Meeting
                  Popp Martin Student Union, Third Floor, Room I

10:30–11:30 a.m. Athletics Committee Meeting
                  Popp Martin Student Union, Third Floor, Room C

10:30–11:30 a.m. Facilities and Physical Properties Committee Meeting
                  Popp Martin Student Union, Third Floor, Room F

11:30 a.m.– Lunch

12:00 p.m. Popp Martin Student Union, Third Floor, Room H

12:00–3:00 p.m. Board Will Convene in the Committee of the Whole
                 Popp Martin Student Union, Third Floor, Room ABDE

Board of Trustees Agenda

The Board Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the Board of Trustees of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order                                            12:00 p.m.
               Joe L. Price, Chair

2. Introductions and Announcements                         12:01 p.m.
               Joe L. Price, Chair
3. Remarks from Board of Governors Liaison  
   Philip Byers, Member of the Board of Governors  
   12:05 p.m.

4. Approval of Meeting Minutes  
   Joe L. Price, Chair  
   Documents: April 18, 2018, Open and Closed Session Meeting Minutes  
                July 2, 2018, Open and Closed Session Meeting Minutes  
                August 14, 2018, Open and Closed Session Meeting Minutes  
                August 24, 2018, Open and Closed Session Meeting Minutes  
   12:10 p.m.

5. Remarks by the Chancellor  
   Philip L. Dubois, Chancellor  
   12:11 p.m.

6. Growing to 35,000: Graduate Education  
   Joan Lorden, Provost and Vice Chancellor for Academic Affairs  
   Document: UNC Charlotte @ 35,000  
   12:26 p.m.

7. Title IX in Athletics  
   Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel  
   Mike Hill, Director of Athletics  
   Document: Title IX  
   12:41 p.m.

8. Campaign Update  
   Niles Sorensen, Vice Chancellor for University Advancement  
   Document: Capital Campaign Update  
   12:56 p.m.

9. Hotel and Conference Center Update  
   Niles Sorensen, Vice Chancellor for University Advancement  
   1:01 p.m.

10. Clery and Crime Statistics  
    Jeff Baker, Chief of Police  
    1:11 p.m.

    Niayai L. Lavien, Student Body President and Trustee  
    Document: Student Government Association Report  
    1:21 p.m.

12. Report of the University Faculty Council  
    Richard Leeman, Faculty President  
    Document: Faculty Council Report  
    1:26 p.m.

13. Report of the University Staff Council  
    Celeste Corpening, Vice Chair  
    Document: Staff Council Report  
    1:31 p.m.
14. Report of the Academic and Student Affairs Committee  1:36 p.m.  
   Michael L. Wilson, Chair

15. Report of the Athletics Committee  1:46 p.m.  
   Susan D. DeVore, Chair

   Theresa J. Drew, Chair  
   Documents: Intercollegiate Swim Upfit

17. Report of the Audit, Compliance, and Enterprise Risk Management Committee  2:06 p.m.  
   Laura A. Schulte, Chair

18. Other Business  2:16 p.m.  
   Joe L. Price, Chair

19. Motion to Convene in Closed Session  2:17 p.m.  
   Joe L. Price, Chair
   
   a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;  
   b. to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11(a)(3).

Closed Session

   Laura A. Schulte, Chair

   Joe L. Price, Chair

22. Title IX Update  2:37 p.m.  
   Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel

23. Motion to Resume in Open Session  2:50 p.m.  
   Joe L. Price, Chair

Open Session

24. Adjourn  3:00 p.m.  
   Joe L. Price, Chair