Audit, Compliance, and Enterprise Risk Management Committee Meeting
Friday, April 12, 2019, 8:00–9:30 a.m.
Popp Martin Student Union, Multipurpose Room I

Agenda

The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order
   Laura A. Schulte, Chair
   8:00 a.m.

2. Approval of the February 19, 2019, Meeting Minutes
   Laura A. Schulte, Chair
   Documents: February 19, 2019, Meeting Minutes—Open Session and Closed Session
   8:01 a.m.

   Gary Stinnett, Associate Vice Chancellor for Human Resources and University
   Affirmative Action Officer
   River Peebles, Equity Specialist,
   Jeff Jensen, Senior Associate General Counsel, via conference call
   Document: OFCCP Audit Summary
   8:02 a.m.

4. Department of Education Provisional Certification
   Joan Lorden, Provost and Vice Chancellor for Academic Affairs
   8:12 a.m.

5. Affiliated Entities IRS Form 990 Update
   Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel
   Document: April 2019 Treasury Services Memo re: IRS Form 990 Filings for Affiliated Entities
   8:17 a.m.

6. Internal Audit Update
   Jennifer Walker, Chief Audit Officer
   Documents: FY 2019 Audit Plan Update
   Summary of Internal Audit Reports Issued
   Internal Audit Findings Exception Report
   Proposed FY 2020 Audit Plan
   8:20 a.m.
7. Compliance Update  
Susan Burgess, Chief Compliance Officer  
Documents: Work Plan Status FY 2019  
Proposed Work Plan FY 2020

8. Chief Risk Officer Introduction  
Steven Dunham, Chief Risk Officer  
Document: Enterprise Risk Management: Vision for UNC Charlotte

9. Motion to Convene in Closed Session  
Laura A. Schulte, Chair

   a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7; and  
   b. to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11 (a)(3).

Closed Session

Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel

11. Title IX Update  
Michelle Reinken, Title IX Coordinator  
Document: Title IX Compliance Program “Snapshot”

12. Internal Audit and Compliance Executive Session  
(with Trustees and Assistant Secretary)  
Jennifer Walker, Chief Audit Officer  
Susan Burgess, Chief Compliance Officer

13. Motion to Resume in Open Session  
Laura A. Schulte, Chair

Open Session

14. Other Business  
Laura A. Schulte, Chair

15. Adjourn  
Laura A. Schulte, Chair

Members  
Laura A. Schulte, Chair  
Teross W. Young Jr.  
Mary Ann Rouse  
Joe L. Price, ex officio  
Michael L. Wilson

UNC Charlotte Board of Trustees  
Audit, Compliance, and Enterprise Risk Management Committee Agenda  
April 12, 2019  
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The University of North Carolina at Charlotte  
Board of Trustees

Executive Committee Meeting  
Friday, April 12, 2019, 9:30-10:30 a.m.  
Popp Martin Student Union, Third Floor, Multipurpose Room I

Agenda

The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order  
   Joe L. Price, Chair  
   9:30 a.m.

2. Approval of the February 19, 2019, Meeting Minutes  
   Joe L. Price, Chair  
   Documents: February 19, 2019, Meeting Minutes—Open and Closed  
   9:31 a.m.

3. Orientation for New Members of the Board of Trustees  
   Kim Bradley, Chief of Staff  
   Documents: New Trustee Orientation  
   9:32 a.m.

4. Motion to Convene in Closed Session  
   Joe L. Price, Chair  
   9:37 a.m.

   Pursuant to N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee.

Closed Session

5. Management Flexibility Report  
   Joan Lorden, Provost and Vice Chancellor for Academic Affairs  
   Documents: Annual Summary Human Resources Report  
   9:37 a.m.

6. Annual Concurrent Employment Report  
   Joan Lorden, Provost and Vice Chancellor for Academic Affairs  
   Document: University Policy 101.4—Concurrent Employment of Related Persons  
   9:42 a.m.
7. Faculty Tenure Actions
   Joan Lorden, Provost and Vice Chancellor for Academic Affairs
   Documents: Summary of Faculty Tenure Actions
   Personnel Actions Requiring Approval of the Board of Trustees
   Tenure Actions Approved by Email, March 20, 2019

8. Quarterly Reports of Faculty Actions and Salary Adjustments
   Joan Lorden, Provost and Vice Chancellor for Academic Affairs
   Documents: Quarterly Report of Faculty Actions
   Quarterly Report of Faculty Salary Adjustments

9. Quarterly Reports of EHRA Non-Faculty Actions and Salary Adjustments
   Beth Hardin, Vice Chancellor for Business Affairs
   Documents: Quarterly Report of EHRA Non-Faculty Actions
   Quarterly Report of EHRA Non-Faculty Salary Adjustments

10. Reappointments to the Board of Trustees of the Endowment Fund
    Beth Hardin, Vice Chancellor for Business Affairs
    Document: Board of Trustees of the Endowment Fund

11. Board of Trustees Appointments
    Betty Doster, Special Assistant for Constituent Relations

12. Search Updates
    Beth Hardin, Vice Chancellor for Business Affairs
    Joan Lorden, Provost and Vice Chancellor for Academic Affairs

13. Naming Opportunity
    Philip L. Dubois, Chancellor

14. Amendment to Athletics Contract
    Philip L. Dubois, Chancellor
    Documents: Summary of First Amendment to Employment Agreement
    First Amendment to Employment Agreement

15. Motion to Resume in Open Session
    Joe L. Price, Chair
    Open Session

16. Action on Faculty Tenure Actions
    Joe L. Price, Chair
17. Action on Reappointments to the Board of Trustees of the Endowment Fund
   Joe L. Price, Chair
   10:26 a.m.

18. Action on Naming Opportunity
    Joe L. Price, Chair
    10:27 a.m.

19. Action on Amendment to Athletics Contract
    Joe L. Price, Chair
    10:28 a.m.

20. Other Business
    Joe L. Price, Chair
    10:29 a.m.

21. Adjourn
    Joe L. Price, Chair
    10:30 a.m.

Members
Joe L. Price, Chair
Susan D. DeVore
Theresa J. Drew
Dhiaa Jamil
Mary Ann Rouse
Laura A. Schulte
Michael L. Wilson
Academic and Student Affairs Committee Meeting
Friday, April 12, 2019, 10:30-11:30 a.m.
Popp Martin Student Union, Third Floor, Multipurpose Room 1

Agenda

The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order
   Michael L. Wilson, Chair
   10:30 a.m.

2. Approval of the February 19, 2019, Meeting Minutes
   Michael L. Wilson, Chair
   Document: February 19, 2019, Meeting Minutes
   10:31 a.m.

3. Annual Update: IT Security
   Michael Carlin, Vice Chancellor for Information Technology and
   Chief Information Officer
   Document: To be added
   10:32 a.m.

4. Research Spotlight: A Taste of the Surprising Science of Meetings
   Rick Tankersley, Interim Vice Chancellor for Research and Economic Development
   Steven Rogelberg, Chancellor’s Professor and Professor of Organizational Science,
   Psychology and Management
   Document: A Taste of the Surprising Science of Meetings
   10:50 a.m.

5. College of Education: Teacher Preparation
   Joan Lorden, Provost and Vice Chancellor for Academic Affairs
   Ellen McIntyre, Dean, Cato College of Education
   Document: The Redesign of Teacher Preparation at UNC Charlotte
   11:10 a.m.

6. Other Business
   Michael L. Wilson, Chair
   11:25 a.m.

7. Adjourn
   Michael L. Wilson, Chair
   11:30 a.m.
Members
Michael L. Wilson, Chair
Sharon A. Decker
J. Brett Keeter
Mary Ann Rouse
Joe L. Price, *ex officio*
The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order
   Susan D. DeVore, Chair 10:30 a.m.

2. Approval of the February 19, 2019, Meeting Minutes
   Susan D. DeVore, Chair 10:31 a.m.
   Document: February 19, 2019, Meeting Minutes

3. Athletics Budget Annual Report
   Darin Spease, Deputy Athletic Director 10:32 a.m.
   Documents: Athletics Budget
                2018-2019 Conference USA Comparison—Operational Budgets
                2018-2019 Conference USA Comparison—Operations/Recruiting

4. Charlotte Athletics Sports Medicine
   AJ Lukjanczuk, Director of Sports Medicine and Head Football Athletic Trainer 10:45 a.m.
   Document: Charlotte Athletics Sports Medicine

5. Athletics Department Update
   Mike Hill, Director of Athletics 11:05 a.m.

6. Other Business
   Susan D. DeVore, Chair 11:25 a.m.

7. Adjourn
   Susan D. DeVore, Chair 11:30 a.m.

Members
Susan D. DeVore, Chair Laura A. Schulte Joe L. Price, ex officio
Niayai Lavien Teross W. Young, Jr.

UNC Charlotte Board of Trustees
Athletics Committee Agenda

April 12, 2019
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The University of North Carolina at Charlotte
Board of Trustees

Facilities and Physical Properties Committee Meeting
Friday, April 12, 2019, 10:30–11:30 a.m.
Popp Martin Student Union, Third Floor, Multipurpose Room F

Agenda

The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order
   Theresa J. Drew, Chair
   10:30 a.m.

2. Approval of Meeting Minutes
   Theresa J. Drew, Chair
   Document: February 19, 2019, Meeting Minutes
   10:31 a.m.

3. Request for Authorization of Capital Project
   Beth Hardin, Vice Chancellor for Business Affairs
   Jon Varnell, Associate Vice Chancellor for Facilities Management
   Documents: Cameron Applied Research Center—Second Floor Renovation Advanced Planning
   10:32 a.m.

4. Request for Approval of Open-End Design Services Selection
   Beth Hardin, Vice Chancellor for Business Affairs
   Jon Varnell, Associate Vice Chancellor for Facilities Management
   Document: Open-End Contracts
   10:38 a.m.

5. Approval of Parking Systems/Rates Regulations
   Beth Hardin, Vice Chancellor for Business Affair
   Keith Wassum, Associate Vice Chancellor for Business Services
   Douglas Lape, Director or Parking and Transportation Services
   Documents: Approval of Parking Ordinance for 2019-2020
   Proposal to Change Parking Ordinance and Rates 2019-2020
   Ordinance Governing Parking, Traffic and the Registration of Motor Vehicles for the University of North Carolina at Charlotte (Clean)
   10:45 a.m.
Ordinance Governing Parking, Traffic and the Registration of Motor Vehicles for the University of North Carolina at Charlotte (Redline)

2019-2020 Benchmarking
Parking Benchmark 2019-2020
2019-2020 Citations
Parking Rate Benchmark—Uptown Charlotte
UNC Charlotte Financial Projections

6. Request for Approval to Acquire Real Property by Lease
   Beth Hardin, Vice Chancellor for Business Affairs
   Jon Varnell, Associate Vice Chancellor Facilities Management
   Richard LaLiberte, Real Estate Manager
   Dr. Cory Brouwer, Director of the Bioinformatics Services Division and
   Associate Professor of Bioinformatics and Genomics
   Document: Research Space, David Murdock Core Laboratory

7. Capital Improvement Projects Update
   Beth Hardin, Vice Chancellor for Business Affairs
   Jon Varnell, Associate Vice Chancellor Facilities Management
   Document: Capital Improvement Projects

8. Other Business
   Theresa J. Drew, Chair

9. Adjourn
   Theresa J. Drew, Chair

Members
Theresa J. Drew, Chair
Dennis N. Bunker III
Dhiaa Jamil
Fred W. Klein Jr.
Joe L. Price, ex officio

UNC Charlotte Board of Trustees
Facilities and Physical Properties Committee Agenda

April 12, 2019
Page 2 of 2
Schedule

8:00–9:30 a.m. Audit, Compliance, and Enterprise Risk Management Committee Meeting
Popp Martin Student Union, Multipurpose Room I

9:30–10:30 a.m. Executive Committee Meeting
Popp Martin Student Union, Multipurpose Room I

10:30–11:30 a.m. Academic and Student Affairs Committee Meeting
Popp Martin Student Union, Multipurpose Room I

10:30–11:30 a.m. Athletics Committee Meeting
Popp Martin Student Union, Multipurpose Room C

10:30–11:30 a.m. Facilities and Physical Properties Committee Meeting
Popp Martin Student Union, Multipurpose Room F

12:00–3:30 p.m. Board Will Convene in the Committee of the Whole
Popp Martin Student Union, Multipurpose Room ABDE

Board of Trustees Agenda

The Board Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the Board of Trustees of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order
   Joe L. Price, Chair

2. Introductions and Announcements
   Joe L. Price, Chair

3. Trustee Recognition
   Philip L. Dubois, Chancellor
4. Introduction and Swearing-In of New Student Body President
   Joe L. Price, Chair
   Niayai Lavien, Former Student Body President and Trustee
   Chandler Crean, Student Body President and Trustee
   Anna Clark, Assistant to the Chief of Staff, Notary Public, Office of the Chancellor

5. Remarks from Board of Governors’ Members
   C. Philip Byers, Board of Governors’ Liaison

6. Chancellor’s Remarks
   Philip L. Dubois, Chancellor

7. Approval of the February 19, 2019, Meeting Minutes
   Joe L. Price, Chair
   Documents: February 19, 2019, Meeting Minutes—Open and Closed

8. Hotel and Conference Center Update
   Niles Sorensen, Vice Chancellor for University Advancement
   Document: The Foundation of the University of North Carolina at Charlotte, Inc.: Corporate Resolution

9. Legislative Update
   Betty Doster, Special Assistant to the Chancellor for Constituent Affairs

10. Christine F. Price Counseling Center: Overview (Education)
    David Spano, Associate Vice Chancellor for Student Affairs and Director, Center for Counseling and Psychological Services
    Document: Counseling and Psychological Services at UNC Charlotte

11. Alcohol Sales
    Mike Hill, Director of Athletics
    Kelly Weatherman, Associate Executive Director for Athletics
    Document: Alcohol Sales at UNC Charlotte Athletic Facilities

12. Report of the Executive Committee
    Joe L. Price, Chair

13. Report of the Officer Nominating Committee
    Mary Ann Rouse, Chair

14. Report of the Academic and Student Affairs Committee
    Michael L. Wilson, Chair

15. Report of the Athletics Committee
    Susan D. DeVore, Chair
16. Report of the Facilities and Physical Properties Committee  
   Theresa J. Drew, Chair  
   Documents: Cameron Second Floor Renovation Advance Planning  
   Research Space, David Murdock Core Laboratory

17. Report of the Audit, Compliance, and Enterprise Risk Management Committee  
   Laura A. Schulte, Chair

18. Report of the University Faculty Council  
   Richard Leeman, Faculty President  
   Document: Faculty Council Report

19. Report of the University Staff Council  
   Celeste Corpening, Chair  
   Document: Staff Council Report

   Chandler Crean, Student Body President and Trustee  
   Document: Student Government Association Report

21. Other Business  
   Joe L. Price, Chair

22. Motion to Convene in Closed Session  
   Joe L. Price, Chair
   a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;  
   b. N.C.G.S. §143-318.11(a)(3), to consult with and receive advice from counsel; and  
   c. N.C.G.S. §143-318.11 (a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, or prospective public officer or employee.

Closed Session

23. Report of the Audit, Compliance, and Enterprise Risk Management Committee  
   Laura A. Schulte, Chair

24. Report of the Executive Committee  
   Joe L. Price, Chair

25. Naming Opportunity  
   Philip L. Dubois, Chancellor
26. Chancellor’s Biennial Evaluation
   Michael L. Wilson, Vice Chair and Biennial Evaluation Committee Chair
   Documents: Biennial Assessment Letter
   Biennial Assessment Survey Results
   Chancellor Dubois’ Self-Assessment
   Attachment A: Institutional Plan 2017-18 Status
   Attachment B: UNC Charlotte Five Year Performance Goals and Interim Benchmarks
   Attachment C: Goals for Chancellor Philip L. Dubois for 2019-2020

27. Motion to Resume in Open Session
   Joe L. Price, Chair

   Open Session

28. Adjourn
   Joe L. Price, Chair