Audit, Compliance, and Enterprise Risk Management Committee Meeting
Tuesday, April 6, 2021, 8:00–9:30 a.m.

Public YouTube Live Stream:
https://www.youtube.com/channel/UCNDBWwmvPGhSAZFcuz1uCFNQ/featured?view_as=subscriber

Agenda

The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order
   Theresa Drew, Chair
   8:00 a.m.

2. Approval of the February 9, 2021, Meeting Minutes
   Theresa Drew, Chair
   Documents: February 9, 2021, Meeting Minutes—Open Session and Closed Session
   8:01 a.m.

3. ACERM Calendar Review
   Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel
   Document: ACERM Calendar
   8:02 a.m.

4. Internal Audit Update
   Jennifer Walker, Chief Audit Officer
   Documents: FY 2021 Audit Plan Update
   Summary of Internal Audit Reports Issued
   Internal Audit Findings Exception Report
   Proposed FY 2022 Audit Plan
   Audits in Prior Years
   8:05 a.m.

5. Compliance Update
   Susan Burgess, Chief Compliance Officer
   Document: University Ethics and Compliance Risk Assessment and Plan of Work – FY 2022
   8:30 a.m.

6. Enterprise Risk Management Update
   Steven Dunham, Chief Risk Officer
   Document: Enterprise Risk Management
   8:40 a.m.
7. Motion to Convene in Closed Session 8:55 a.m.
Theresa Drew, Chair

   a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;
   b. to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11 (a)(3);
   c. to consider the qualifications, competence, performance, or condition of appointment of an employee pursuant to N.C.G.S. §143-318.11(a)(6).

Closed Session

8. Title IX Update 8:56 a.m.
   Dr. Michelle Reinken, Director of Title IX Compliance/Title IX Coordinator
   Document: Title IX Compliance Program "Snapshot"

   Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel

10. Motion to Resume in Open Session 9:16 a.m.
    Theresa Drew, Chair

Open Session

11. Other Business 9:17 a.m.
    Theresa Drew, Chair

12. Adjourn 9:30 a.m.
    Theresa Drew, Chair

Members
Theresa Drew, Chair
Susan DeVore, Vice Chair
Dennis Bunker
Christine Katziff
David Mildenberg
Mike Wilson, ex officio
The University of North Carolina at Charlotte  
Board of Trustees  

Executive Committee Meeting  
Tuesday, April 6, 2021 9:35–10:35 a.m.  
Public YouTube Live Stream:  
https://www.youtube.com/channel/UCy57M1Csx7mhzt4FOFRKGEw?view_as=subscriber

Agenda  
The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes)*, I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order  
   Michael L. Wilson, Chair

2. Approval of the February 9, 2021, Meeting Minutes  
   Michael L. Wilson, Chair  
   Documents: February 9, 2021, Meeting Minutes - Open Session  
      February 9, 2021, Meeting Minutes - Closed Session

3. Board Self-Assessment Update  
   Michael L. Wilson, Chair  
   Document: AGB Scope of Work and Pricing  
      UNC Board of Governors Policy 200.4 Assessment Process for Chief Executive and Governing Boards of UNC  
      AGB Self-Assessment Survey

4. Nominating Committee  
   Christine P. Katziff, Nominating Committee Chair

5. Plan to Revise the John Crosland Sr. Distinguished Professor in Real Estate and Development  
   Joan F. Lorden, Provost and Vice Chancellor for Academic Affairs  
   Document: Crosland Revised Plan Letter to the Chancellor  
      Plan to Establish the John Crosland Sr. Distinguished Professor in Real Estate and Development

6. Motion to Convene in Closed Session  
   Michael L. Wilson, Chair
a. Pursuant to N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee; and
b. prevent the disclosure of information that is privileged or confidential pursuant to the law of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes pursuant to N.C.G.S. §143-318.11 (a)(1);
c. establish, or to instruct the public body’s staff concerning the amount of compensation and other material terms of an employment contract or proposed employment contract pursuant to N.C.G.S. §143-318.11 (a)(5);

Closed Session

7. Quarterly Report of Faculty Actions and Salary Adjustments
   Joan F. Lorden, Provost and Vice Chancellor for Academic Affairs
   Documents: Quarterly Report of Faculty Actions
               Quarterly Report of Faculty Salary Adjustments

8. Faculty Tenure Recommendations
   Joan F. Lorden, Provost and Vice Chancellor for Academic Affairs
   Documents: Summary of Personnel Actions
               Personnel Actions Requiring Approval of the Board of Trustees

9. Annual Concurrent Employment Report
   Joan Lorden, Provost and Vice Chancellor for Academic Affairs
   Documents: University Policy 101.4
               Concurrent Employment of Related Persons Report

10. Quarterly Report of EHRA Non-Faculty Actions and Salary Adjustments
    Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel
    Documents: Quarterly Report of EHRA Non-Faculty Actions
                Quarterly Report of EHRA Non-Faculty Salary Adjustments

11. Vice Chancellor Search
    Kim Bradley, Chief of Staff

12. Trustee Appointment Process
    Sharon L. Gaber, Chancellor

13. Athletics Employment Agreement
    Sharon L. Gaber, Chancellor
    Document: Employment Agreement Amendment

14. Motion to Resume in Open Session
    Michael L. Wilson, Chair
Open Session

15. Action on Athletics Employment Agreement
   Michael L. Wilson, Chair
   Document: Employment Agreement Amendment

16. Action on Faculty Tenure Recommendations
   Michael L. Wilson, Chair

17. Other Business
   Michael L. Wilson, Chair

18. Adjourn
   Michael L. Wilson, Chair

Executive Committee Members
Michael L. Wilson, Chair
Dennis N. Bunker
Susan D. DeVore
Theresa J. Drew
Fred W. Klein Jr.
Mary Ann Rouse
Tahlieah K. Sampson
Teross W. Young Jr.
The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order
   Dennis N. Bunker III, Chair

2. Approval of the February 9, 2021, Meeting Minutes
   Dennis N. Bunker III, Chair
   Document: February 9, 2021, Meeting Minutes

3. Education: Financial Literacy
   Kevin Bailey, Vice Chancellor for Student Affairs
   Brad Yeckley, Assistant Director for Financial Literacy
   Document: Financial Literacy and Wellbeing at UNC Charlotte

4. Education: Jamil Niner Student Pantry
   Kevin Bailey, Vice Chancellor for Student Affairs
   Mindy Sides-Walsh, Director of Leadership & Community Engagement
   Joseph Harvey, Interim Assistant Director of Leadership & Community Engagement
   Nikki Layden, Graduate Assistant, Jamil Niner Pantry
   Document: Jamil Niner Student Pantry

5. Other Business
   Dennis N. Bunker III, Chair

6. Adjourn
   Dennis N. Bunker III, Chair
Members
Dennis N. Bunker III, Chair
Theresa J. Drew
Christine P. Katziff
David W. Mildenberg
Michael L. Wilson, ex officio
The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order  
   Teross Young Jr., Chair

2. Approval of the February 9, 2021, Meeting Minutes  
   Teross Young Jr., Chair  
   Document: February 9, 2021, Meeting Minutes

3. Financial Picture/Look Ahead  
   Darin Spease, Deputy Athletic Director  
   Document: FY 2021 Athletics Financial Picture/Look Ahead

4. Basketball Recap/Update  
   Cara Consuegra, Head Women’s Basketball Coach  
   Ron Sanchez, Head Men’s Basketball Coach

5. Athletic Department Update  
   Mike Hill, Director of Athletics

6. Other Business  
   Teross Young Jr., Chair

7. Adjourn  
   Teross Young Jr., Chair
Members
Teross W. Young Jr., Chair
J. Brett Keeter, Vice Chair
Sharon Allred Decker
Tahlieah K. Sampson
Michael L. Wilson, ex officio
The University of North Carolina at Charlotte
Board of Trustees

Facilities and Physical Properties Committee Meeting
Tuesday, April 6, 2021 10:40 a.m.–11:40 a.m.
Public YouTube Live Stream:  
https://www.youtube.com/channel/UCDMLQksMteCDS57w7NnZi2w?view_as=subscriber

Agenda
The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order  10:40 a.m.
   Fred W. Klein, Chair

2. Approval of February 9, 2021, Meeting Minutes  10:41 a.m.
   Fred W. Klein, Chair
   Document:  February 9, 2021, Meeting Minutes

3. Request for Authorization for a Capital Project  10:43 a.m.
   Lawrence Kelley, Interim Vice Chancellor for Business Affairs
   Jon Varnell, Associate Vice Chancellor for Facilities Management
   Documents:  Request for Authorization Remembrance Memorial
               Remembrance Memorial

4. Request for Ratification for a Designer Selection  10:45 a.m.
   Lawrence Kelley, Interim Vice Chancellor for Business Affairs
   Jon Varnell, Associate Vice Chancellor for Facilities Management
   Documents:  Request for Ratification for a Designer Selection Repairs for Electrical,
               Plumbing, HVAC - McEniry
               Designer Selection - McEniry

5. Request to Dispose of Real Estate by Easement  10:55 a.m.
   Lawrence Kelley, Interim Vice Chancellor for Business Affairs
   Jon Varnell, Associate Vice Chancellor for Facilities Management
   Documents:  Request to Dispose of Real Estate by Easement Mallard Creek Tributary Sanitary
               Sewer Replacement
               Mallard Creek Tributary Sanitary Sewer Easement
6. Request for Approval of Capital Projects Designer Selections 11:00 a.m.
Lawrence Kelley, Interim Vice Chancellor for Business Affairs
Jon Varnell, Associate Vice Chancellor for Facilities Management
Document: Open End Professional Services

7. Approval of Parking Ordinance and Rates for 2021/2022 11:10 a.m.
Lawrence Kelley, Interim Vice Chancellor for Business Affairs
Rich Steele, Associate Vice Chancellor for Business Services
Documents: Approval of Parking Ordinance and Rates 2021/2022
Proposal to Change Parking Ordinance and Rates 2021/2022
Ordinance Governing Parking, Traffic and Registration of Motor Vehicles
Ordinance Governing Parking, Traffic and the Registration of Motor Vehicles (Redlined)
Appendix Parking Schedule of Fees
Appendix Parking Schedule of Fees (Redlined)
Campus Transportation Policies for UNC Charlotte
Campus Transportation Policies for UNC Charlotte (Redlined)
Benchmarking
Citation Rates UNC System
Parking Rates UNC System
Uptown Parking Rates
PaTS Budget Summary 2021/2022

8. Capital Improvement Projects Update 11:20 a.m.
Lawrence Kelley, Interim Vice Chancellor for Business Affairs
Jon Varnell, Associate Vice Chancellor for Facilities Management
Document: Capital Improvement Projects Update

9. Phase XVI Update 11:23 a.m.
Lawrence Kelley, Interim Vice Chancellor for Business Affairs

10. Other Business 11:38 a.m.
Fred W. Klein, Chair

11. Adjournment 11:40 a.m.
Fred W. Klein, Chair

Members
Fred W. Klein, Chair
Mary Ann Rouse, Vice Chair
Susan DeVore
Carlos Sanchez
Michael Wilson, ex officio
The University of North Carolina at Charlotte
Board of Trustees

Tuesday, April 6, 2021
Public YouTube Live Stream:
https://www.youtube.com/channel/UCNDBWvmvPGhSAZFczJuCFNZQ/featured?view_as=subscriber

Schedule

8:00–9:30 a.m. Audit, Compliance, and Enterprise Risk Management (ACERM) Committee Meeting
Zoom Video Conference

9:35–10:35 a.m. Executive Committee Meeting
Zoom Video Conference

10:40–11:40 a.m. Academic and Student Affairs (ASA) Committee Meeting
Zoom Video Conference

10:40–11:40 a.m. Athletics Committee Meeting
Zoom Video Conference

10:40–11:40 a.m. Facilities and Physical Properties Committee (FPPC) Meeting
Zoom Video Conference

11:40 a.m.–12:30 p.m. Lunch Break

12:30–3:30 p.m. Board Will Convene in the Committee of the Whole
Zoom Video Conference

Board of Trustees Agenda

The Board Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the Board of Trustees of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order
   Michael L. Wilson, Chair

2. Introductions and Announcements
   Michael L. Wilson, Chair
3. Approval of Previous Meeting Minutes  
   Michael L. Wilson, Chair  
   Documents: February 9, 2020, Meeting Minutes—Open Session  
               February 9, 2020, Meeting Minutes—Closed Session

4. Remarks by the Board of Governors Liaison  
   Mark Holton, Board of Governors Liaison

5. Trustee Recognition  
   Sharon L. Gaber, Chancellor

6. Remarks by the Chancellor  
   Sharon L. Gaber, Chancellor

7. Approval of Strategic Plan Framework  
   Sharon L. Gaber, Chancellor  
   Document: Strategic Plan - Shaping What’s Next, 2021-31

8. Budget Update  
   Ken W. Smith, University Budget Director  
   Documents: Total COVID-19 Relief Funds Awarded  
               Total Allocations for COVID-19 Losses and Costs  
               Comparative Financial Information

9. Report on Diversity and Inclusion  
   Cheryl Waites Spellman, Interim Special Assistant to the Chancellor for Diversity and Inclusion  
   Documents: Diversity and Inclusion Presentation to the Board of Trustees  
               Diversity and Inclusion Annual Report  
               Appendix A – 2020 Annual Report - Council on University Community Working Group (CUCWG) UNC Charlotte  
               Appendix B – Chancellor’s Diversity Challenge Fund Grants 2019-2020

10. Legislative Update  
    Betty Doster, Special Assistant to the Chancellor for Constituent Relations

11. Report of the University Faculty Council  
    Joel D. Avrin, Faculty President  
    Document: Faculty Council Report

12. Report of the University Staff Council  
    Celeste M. Corpening, Staff Council Chair  
    Document: Staff Council Report

    Dick Beekman, Student Body President and Trustee  
    Document: Student Government Association Updates
14. Report of the Executive Committee  
   Michael L. Wilson, Chair

15. Report of the Audit, Compliance, and Enterprise Risk Management Committee  
   Theresa Drew, Chair

16. Report of the Academic and Student Affairs Committee  
   Dennis N. Bunker III, Chair

17. Report of the Athletics Committee  
   Teross W. Young Jr., Chair

18. Report of the Facilities and Physical Properties Committee  
   Fred W. Klein, Chair

19. Motion to Convene in Closed Session  
   Michael L. Wilson, Chair

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   b. to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, or prospective public officer or employee pursuant to N.C.G.S §143-318.11 (a)(6);

   c. establish, or to instruct the public body’s staff concerning the amount of compensation and other material terms of an employment contract or proposed employment contract pursuant to N.C.G.S. §143-318.11 (a)(5);

   **Closed Session**

20. Report of the Executive Committee  
   Michael L. Wilson, Chair

21. Report of the Audit, Compliance, and Enterprise Risk Management Committee  
   Theresa Drew, Chair

22. Motion to Resume in Open Session  
   Michael L. Wilson, Chair

   **Open Session**

23. Other Business  
   Michael L. Wilson, Chair

24. Adjourn  
   Michael L. Wilson, Chair
Members of the Board of Trustees
Michael L. Wilson
Dick Beekman
Dennis N. Bunker III
Sharon Allred Decker
Susan D. DeVore
Theresa J. Drew
Christine P. Katziff
J. Brett Keeter
Fred W. Klein Jr.
David W. Mildenberg
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