The University of North Carolina at Charlotte  
Board of Trustees

Audit, Compliance, and Enterprise Risk Management Committee Meeting  
Thursday, October 6, 2016, 8:00–9:30 a.m.  
Bioinformatics 301

Agenda

The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order  
   Catherine P. Bessant, Chair

2. Approval of the April 28, 2016, Meeting Minutes  
   Catherine P. Bessant, Chair  
   Document: April 28, 2016, Meeting Minutes

3. Internal Audit Update  
   Raheel Qureshi, Acting Director of Internal Audit  
   Documents: Annual Audit Plan FY2017 Update  
               Summary of Internal Audit Reports Issued Since Last Meeting  
               Active Findings Report  
               Internal Audit Charter Revision  
               Annual Certification Tasks for the Board of Governors  
               Audit Department Balanced Scorecard FY 2017  
               “Internal Auditors Eyeing Operational Risks.”  
               Lindsay Frost, AGENDA, Money-Media Inc, 2016

4. Resolution of Direct Deposit Security Incident  
   Mike Carlin, Vice Chancellor for Information Technology  
   Document: ITS Update: Direct Deposit Security Incident

8:00 a.m.  
8:00 a.m.  
8:00 a.m.  
8:20 a.m.
5. Motion to Convene in Closed Session 8:35 a.m.
   Catherine P. Bessant, Chair

   a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7; and
   b. to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11 (a)(3).
   c. To consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee pursuant to N.C.G.S. §143-318.11(a)(6).

Closed Session

   Jesh Humphrey, Vice Chancellor and General Counsel

7. Title IX Report 8:50 a.m.
   Jennifer Newell, Title IX Coordinator

8. Report on Status of Search for Director of Internal Audit 9:00 a.m.
   Beth Hardin, Vice Chancellor for Business Affairs

9. Motion to Resume in Open Session 9:10 a.m.
   Catherine P. Bessant, Chair

Open Session

10. Other Business 9:10 a.m.
    Catherine P. Bessant, Chair

11. Adjourn 9:30 a.m.
    Catherine P. Bessant, Chair

Members
Catherine P. Bessant, Chair
Mary Ann Rouse
Laura A. Schulte
Michael L. Wilson
Joe L. Price, ex officio
The University of North Carolina at Charlotte
Board of Trustees

Executive Committee Meeting
Thursday, October 6, 2016, 9:30–10:30 a.m.
Bioinformatics 301

Agenda

The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order
   Joe L. Price, Chair
   9:30 a.m.

2. Approval of the April 28, 2016, and September 7, 2016, Meeting Minutes
   Joe L. Price, Chair
   Documents: April 28, 2016, Meeting Minutes
              September 7, 2016, Meeting Minutes
   9:30 a.m.

3. Delegation of Authority for EHRA Salary Adjustments
   Philip L. Dubois, Chancellor
   Documents: Resolution to Delegate Expanded Authority to the Chancellor
              for Certain Salary Actions for Employees Exempt from
              the State Human Resources Act
   9:32 a.m.

4. Motion to Convene in Closed Session
   Joe L. Price, Chair
   9:40 a.m.
   a. To consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee pursuant to N.C.G.S. §143-318.11(a)(6); and
   b. to prevent the premature disclosure of an award pursuant to N.C.G.S. §143.318.11(a)(2).

Closed Session

5. Quarterly Report of Faculty Actions
   Joan Lorden, Provost and Vice Chancellor for Academic Affairs
   Document: Quarterly Report of Faculty Actions
   9:40 a.m.
6. Quarterly Report of EHRA Non-Faculty Actions  
   Beth Hardin, Vice Chancellor for Business Affairs  
   *Document:* Quarterly Report of EHRA Non-Faculty Actions

7. Distinguished Service Award Nominations  
   Philip L. Dubois, Chancellor  
   *Documents:* Policy, Criteria, and Procedure for the Selection  
   of the Distinguished Service Award  
   Recipients of the Distinguished Service Award

8. Appointment to the Board of Trustees of the Endowment Fund  
   Beth Hardin, Vice Chancellor for Business Affairs  
   *Document:* Appointment to the Board of Trustees of the Endowment Fund

9. Naming of Admissions and Visitors Center  
   Philip L. Dubois, Chancellor  
   *Document:* Naming of the Admissions and Visitors Center

10. Motion to Resume in Open Session  
    Joe L. Price, Chair

   **Open Session**

11. Action on Appointments to the Board of Trustees of the Endowment Fund  
    Joe L. Price, Chair

12. Other Business  
    Joe L. Price, Chair

13. Adjourn  
    Joe L. Price, Chair

**Members**
Joe L. Price, Chair  
Catherine P. Bessant  
Susan D. DeVore  
Theresa J. Drew  
Dhiaa Jamil  
Laura A. Schulte  
Michael L. Wilson
Agenda

The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order
   Laura A. Schulte, Chair

2. Approval of the April 28, 2016, and July 20, 2016, Meeting Minutes
   Laura A. Schulte, Chair
   Documents: February 19, 2016, Meeting Minutes
   April 28, 2016, Meeting Minutes
   July 22, 2016, Meeting Minutes

3. Title IX Appeals Training
   Sarah Edwards, Associate General Counsel
   Documents: An Appellate Officer’s Role in Student Title IX Cases
   Student Conduct Process: A Primer for Appellate Officers
   Policy on Minimum Substantive and Procedural Standards for Student
   Disciplinary Proceedings

4. Campus Behavioral Intervention Team
   Christine Reed Davis, Dean of Students
   Dave Spano, Director of Counseling Center
   Document: Campus Behavioral Intervention Team: Development and Current Status

5. Other Business
   Laura A. Schulte, Chair

6. Adjourn
   Laura A. Schulte, Chair
Members
Laura A. Schulte, Chair
David L. Hauser
Mary Ann Rouse
Michael L. Wilson
Joe L. Price, ex officio
Agenda

The Committee Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order
   Karen A. Popp, Acting Chair

2. Approval of the April 28, 2016, Meeting Minutes
   Karen A. Popp, Acting Chair
   Document: April 28, 2016, Meeting Minutes

3. Review of Concentration of Classes, Selection of Academic Majors, and Five-Year Overview of Student Athlete Admissions
   Lisa Hibbs, Director of Athletic Academic Center
   Documents: Spring 2016 Majors by College
                Spring 2016 Minors
                Top 10 Majors for Student-Athletes, Spring 2016
                Spring 2016 Majors by Percentage
                Five-Year Overview: Student Athlete Admissions

4. Academic Progress Rate Report
   Scott Byrd, Associate Athletic Director for Compliance and Championships

5. Athletics Financial Indicators Report
   Darin Spease, Senior Associate Athletic Director for Business Affairs
   Documents: Student Fee Revenue as a Share of Total Operating Revenue for Athletics

6. Sports Expectations Scorecard
   Judy Rose, Director of Athletics
   Document: Sports Expectations Scorecard, Effective Fall 2016
7. Athletics Committee Annual Report Schedule  
   Karen A. Popp, Acting Chair  
   *Document:* Athletics Committee Calendar

8. Other Business  
   Karen A. Popp, Acting Chair

9. Adjourn  
   Karen A. Popp, Acting Chair

**Members**  
Susan D. DeVore, Chair  
Catherine P. Bessant  
Fahn K. Darkor  
Karen A. Popp  
Joe L. Price, *ex officio*
The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. **Call to Order**
   Theresa J. Drew, Chair
   
   10:30 a.m.

2. **Approval of Meeting Minutes**
   Theresa J. Drew, Chair
   *Document:*  April 28, 2016, Meeting Minutes
   
   10:30 a.m.

3. **Requests for Capital Project Authorization**
   Beth Hardin, Vice Chancellor for Business Affairs
   Phil Jones, Associate Vice Chancellor for Facilities Management
   *Documents:*  Campus Storm Water Improvement—Phase 2
   Reclaimed Water Infrastructure
   
   10:32 a.m.

4. **Requests for Increase in Capital Project Authorization**
   Beth Hardin, Vice Chancellor for Business Affairs
   Phil Jones, Associate Vice Chancellor for Facilities Management
   *Documents:*  Irwin Belk Track Resurfacing
   Student Counseling Center
   
   10:40 a.m.

5. **Requests for Approval of Capital Project Designer Selection**
   Beth Hardin, Vice Chancellor for Business Affairs
   *Documents:*  Science Building
   Facilities Operations/Parking Services Complex
   
   10:45 a.m.
6. Request for Approval of Capital Project Construction Manager at Risk 10:50 a.m.
Beth Hardin, Vice Chancellor for Business Affairs
Phil Jones, Associate Vice Chancellor for Facilities Management
*Document:* Facilities Operations/Parking Services Complex

7. Request for Authorization to Acquire by Lease 10:55 a.m.
Beth Hardin, Vice Chancellor for Business Affairs
Phil Jones, Associate Vice Chancellor for Facilities Management
*Document:* Off-site Parking Five-Year Lease

8. Requests for Ratification of Capital Project Designer Selection 11:00 a.m.
Beth Hardin, Vice Chancellor for Business Affairs
Phil Jones, Associate Vice Chancellor for Facilities Management
*Documents:* Union Deck Expansion
Sycamore Hall Renovation
Belk Plaza
CRI Entrance

9. Capital Improvement Projects Update 11:10 a.m.
Beth Hardin, Vice Chancellor for Business Affairs
Phil Jones, Associate Vice Chancellor Facilities Management
*Document:* Capital Improvement Projects

10. Other Business 11:20 a.m.
Theresa J. Drew, Chair

11. Adjourn
Theresa J. Drew, Chair

*Members*
Theresa J. Drew, Chair
Sharon Allred Decker
Dhiaa Jamil
Fred W. Klein
Joe L. Price, *ex officio*
The University of North Carolina at Charlotte
Board of Trustees

Thursday, October 6, 2016
Bioinformatics Building

Schedule

8:00–9:30 a.m. Audit, Compliance, and Enterprise Risk Management Committee Meeting
Bioinformatics 301

9:30–10:30 a.m. Executive Committee Meeting
Bioinformatics 301

10:30–11:30 a.m. Academic and Student Affairs Committee Meeting
Bioinformatics 301

10:30–11:30 a.m. Athletics Committee Meeting
Bioinformatics 218

10:30–11:30 a.m. Facilities and Physical Properties Committee Meeting
Bioinformatics 305

11:30 a.m.– Lunch

12:00 p.m. Bioinformatics Atrium

12:00–3:30 p.m. Board Will Convene in the Committee of the Whole
Bioinformatics 217

Board of Trustees Agenda

The Board Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the Board of Trustees of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order
   Joe L. Price, Chair
2. Introductions and Announcements
   Joe L. Price, Chair

3. Election of Assistant Secretary to the Board of Trustees
   Joe L. Price, Chair

4. Approval of the April 28, 2016, July 1, 2016, and August 25, 2016, Meeting Minutes
   Joe L. Price, Chair
   Documents: April 28, 2016, Meeting Minutes
              July 1, 2016, Meeting Minutes
              August 25, 2016, Meeting Minutes

5. Remarks by Board of Governors Member
   C. Philip Byers, Board of Governors Member and UNC Charlotte Liaison

6. Remarks by the Chancellor
   Philip L. Dubois, Chancellor

7. Office of International Programs Overview
   Joel Gallegos, Assistant Provost for International Programs
   Document: Office of International Programs Overview

8. Report of the Executive Committee
   Joe L. Price, Chair

9. Report of the Academic and Student Affairs Committee
   Laura A. Schulte, Chair

10. Report of the Athletics Committee
    Susan D. DeVore, Chair

11. Report of the Facilities and Physical Properties Committee
    Theresa J. Drew, Chair
    Documents: Requests for Capital Project Authorization
               Campus Storm Water Improvement—Phase 2
               Reclaimed Water Infrastructure
               Requests for Increase in Capital Project Authorization
               Irwin Belk Track Resurfacing
               Student Counseling Center
               Requests for Approval of Capital Project Designer Selection
               Science Building
               Facilities Operations/Parking Services Complex
               Request for Approval of Capital Project Construction Manager at Risk
               Facilities Operations and Parking Services Complex
               Request for Authorization to Acquire by Lease
               Off-Site Parking Five-Year Lease
12. Report of the Audit, Compliance, and Enterprise Risk Management Committee
   Catherine P. Bessant, Chair

13. Clery Act Requirements and Campus Safety (Education) and Clery Report
   Sarah Edwards, Associate General Counsel
   Jeff Baker, Chief of Police
   *Documents:* The Clery Act
   2015 State and Clery Crime Statistics

   Fahn K. Darkor, Student Body President and Trustee

15. Report of the University Faculty Council
   Lisa Walker, Faculty President
   *Document:* Faculty Council Report

16. Report of the University Staff Council
   Penny Stevens, Chair
   *Document:* Staff Council Report

17. Other Business
   Joe L. Price, Chair

18. Motion to Convene in Closed Session
   Joe L. Price, Chair

   a. *Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is
      privileged or confidential pursuant to the laws of this State or of the United States, or not
      considered a public record within the meaning of Chapter 132 of the General Statutes, such
      privilege or confidentiality arising under the Family Educational Rights and Privacy Act
      (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;*
   b. *to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11(a)(3);*

**Closed Session**

19. Report of the Audit, Compliance, and Enterprise Risk Management Committee
   Catherine P. Bessant, Chair

20. Report of the Executive Committee
   Joe L. Price, Chair

21. Naming Opportunity
   Philip L. Dubois, Chancellor
22. Motion to Resume in Open Session
   Joe L. Price, Chair

Open Session

23. Adjourn
   Joe L. Price, Chair